

The Dynamic Response of Terrestrial Vegetation to Climate Variability Seen Through Time Series Analysis of Satellite Data

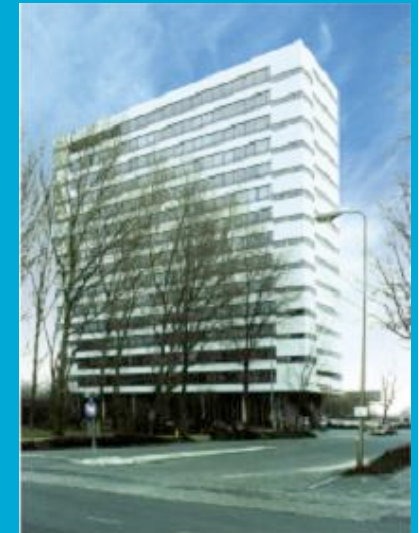
Massimo Menenti

Chair, Optical and Laser Remote Sensing

Delft University of Technology, The Netherlands



**Faculty of Aerospace
Engineering**



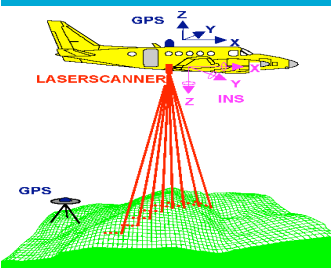
Department of Earth Observation and Space Systems (DEOS)



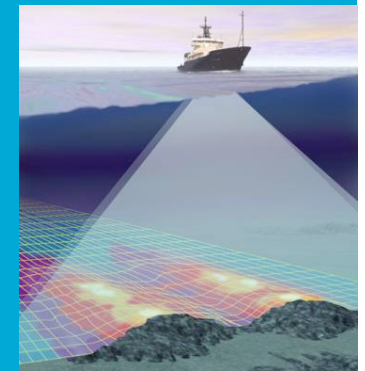
space born

- Positioning
- Gravity Field
- Remote Sensing
 - Optical
 - Radar
 - Acoustic
- Geophysical modeling

airborne

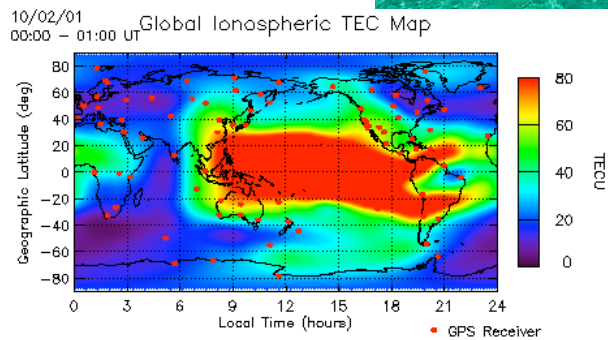
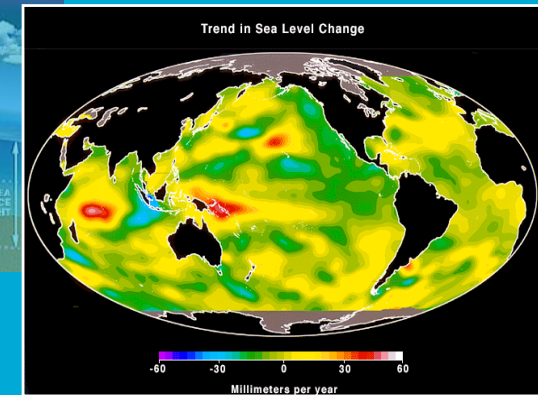
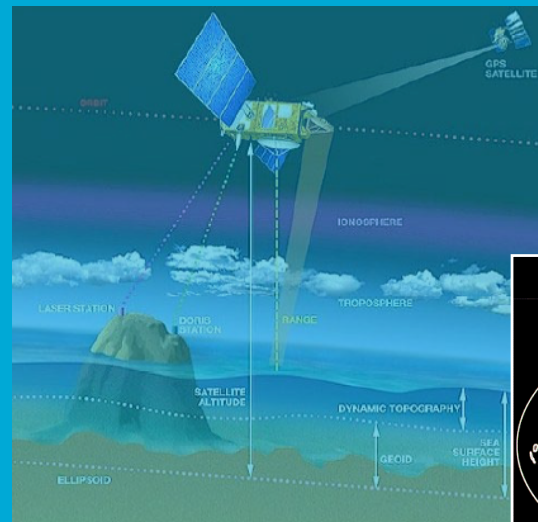
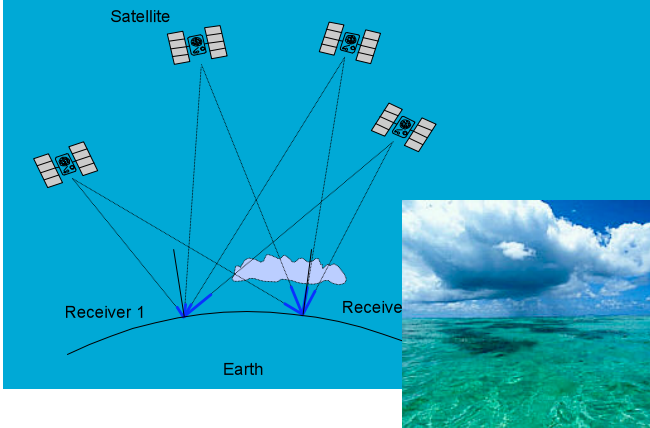
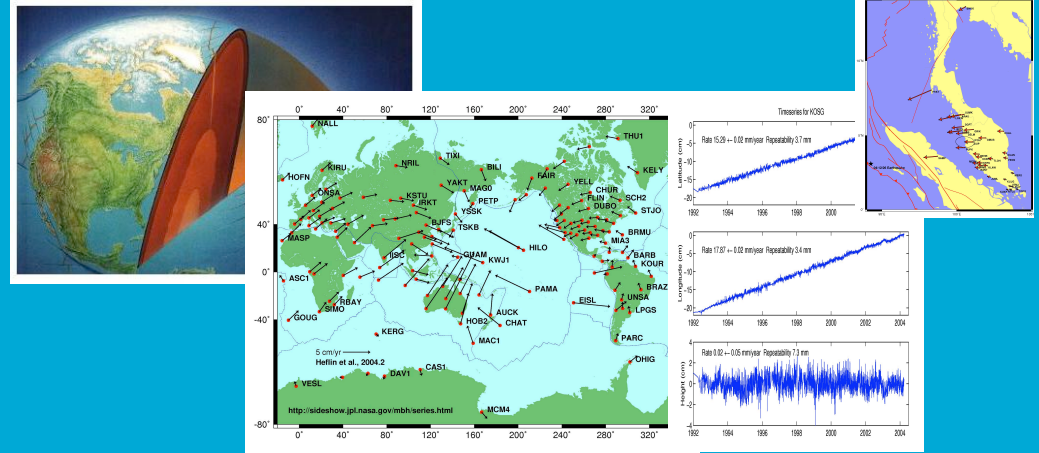


in situ



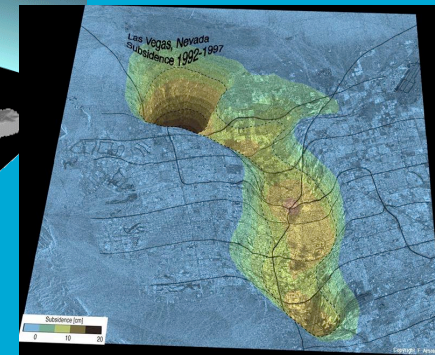
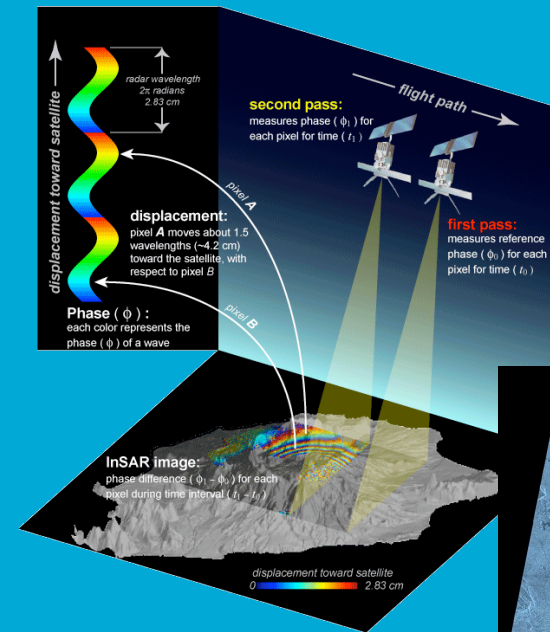
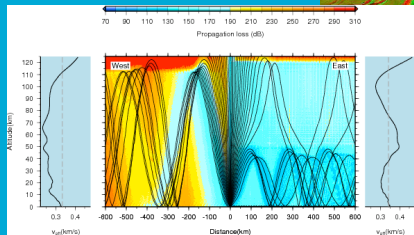
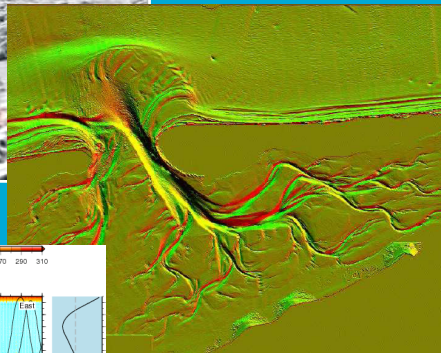
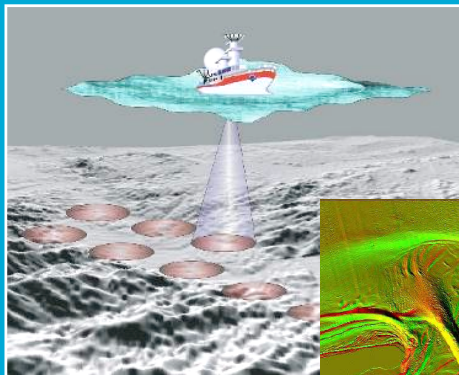
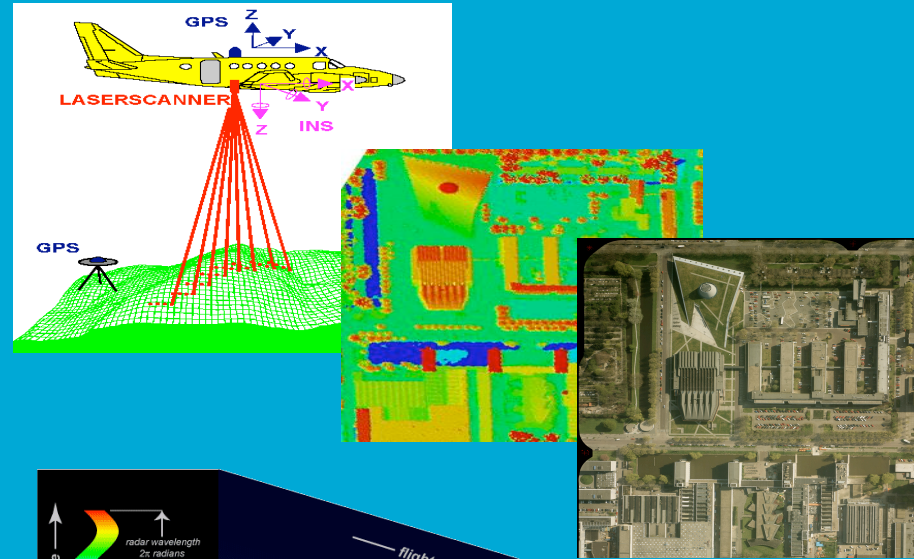
GEOPHYSICAL SIGNALS:

- Solid Earth
- Hydrosphere
- Atmosphere
- Terrestrial biosphere

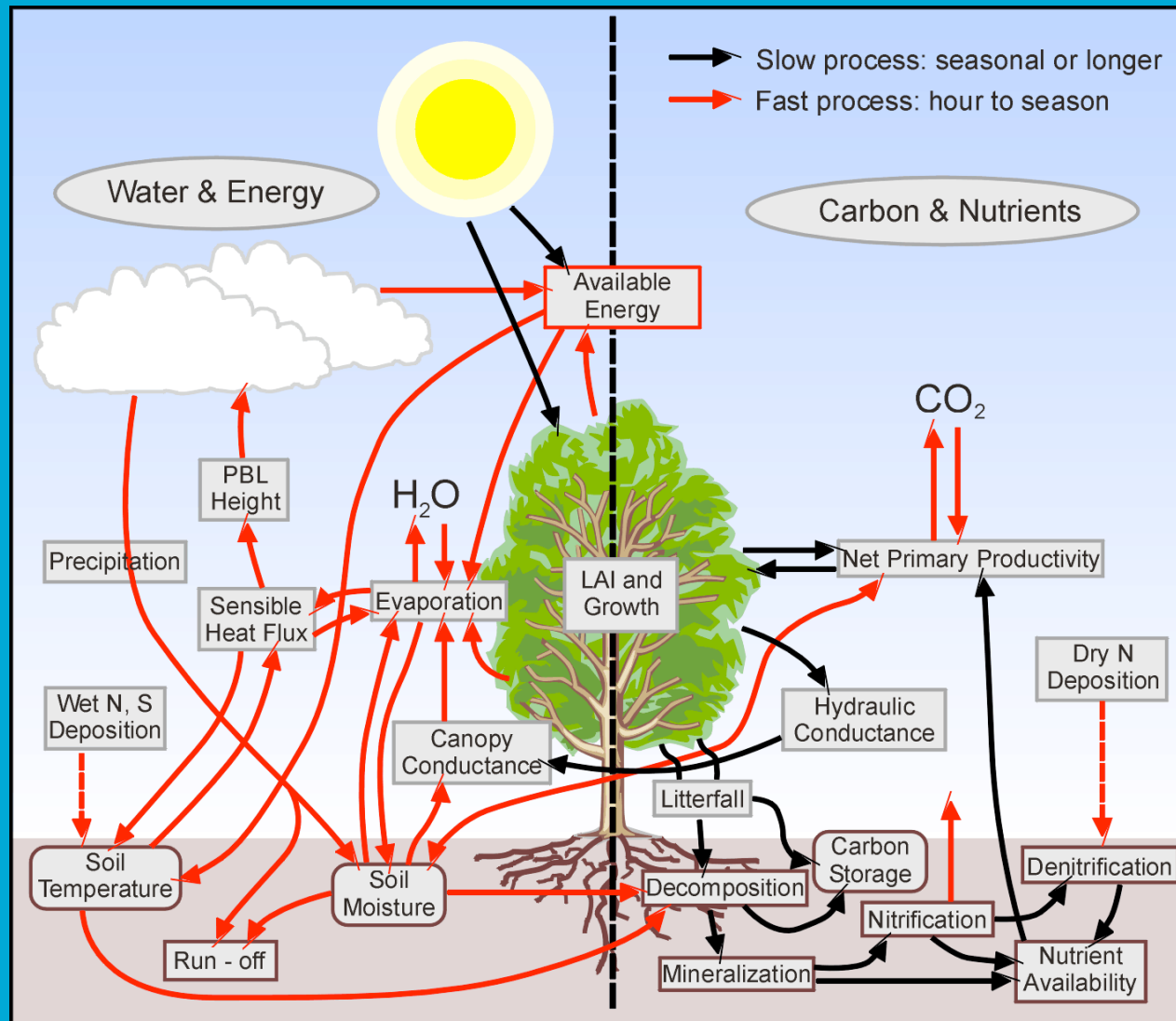


REMOTE SENSING:

- Optical RS
- Acoustic RS
- Radar RS

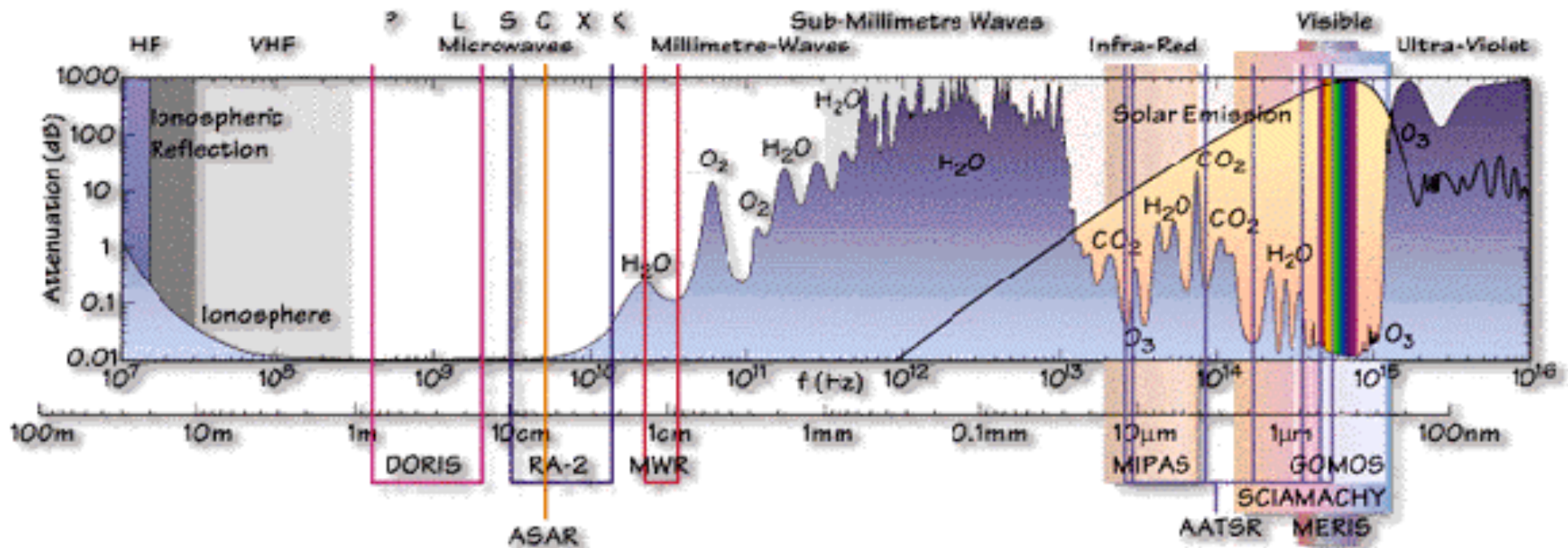


What determines the response of vegetation to weather and climate?



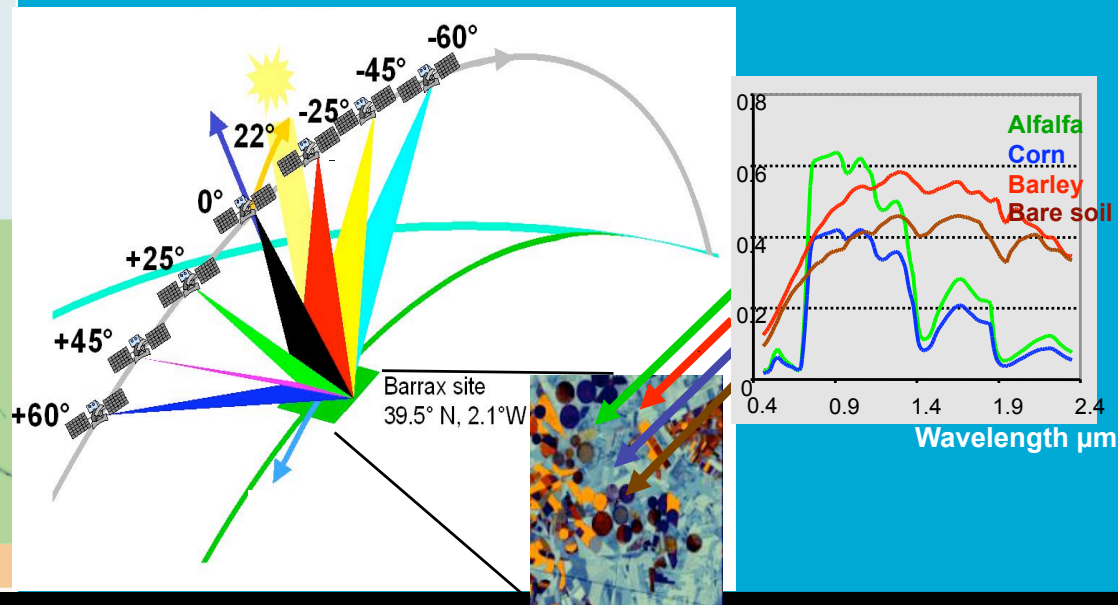
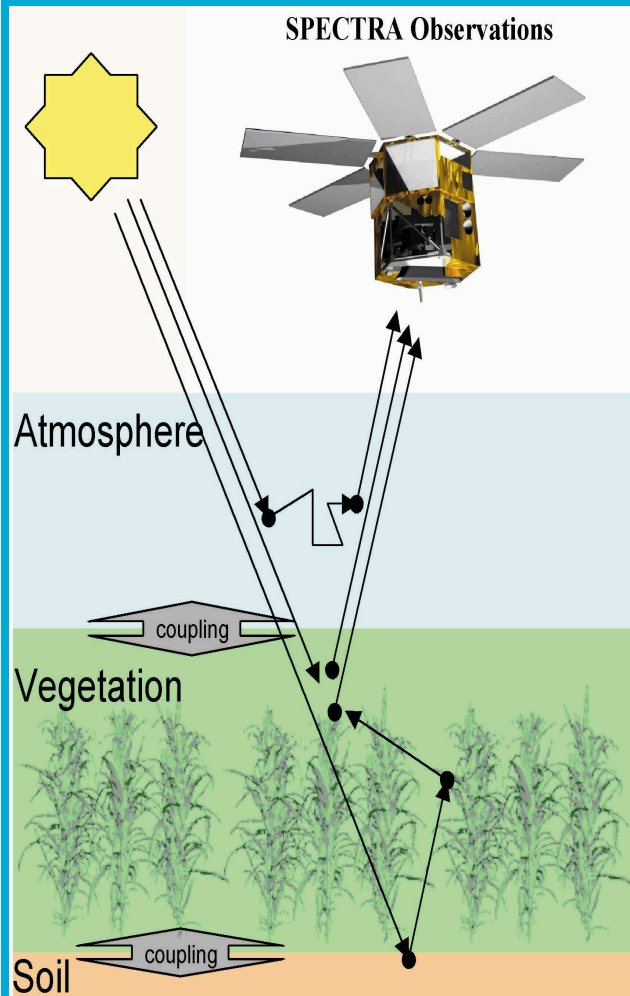
Observing land surface from space

1. Can we use radiometric data to understand terrestrial vegetation?
2. How complex is EM signal over vegetation?

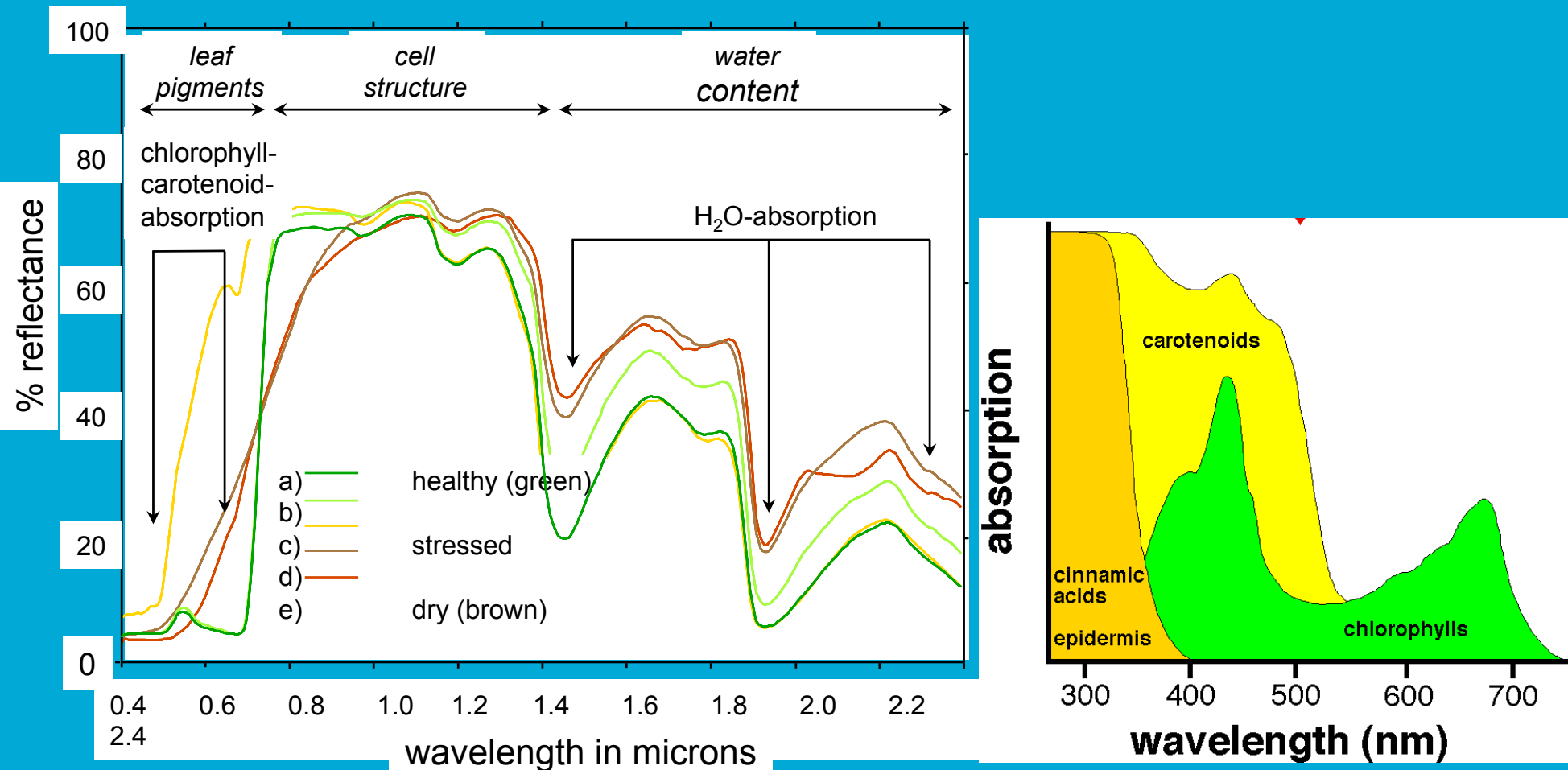


Observation Technique

- Top of the atmosphere (TOA) radiance over terrestrial vegetation determined by complex interactions at the surface and in the atmosphere.
- Sampling the spectral, directional, spatial, and temporal dimensions of radiometric magnitudes is needed to decouple both the surface and the atmosphere and characterise them separately.

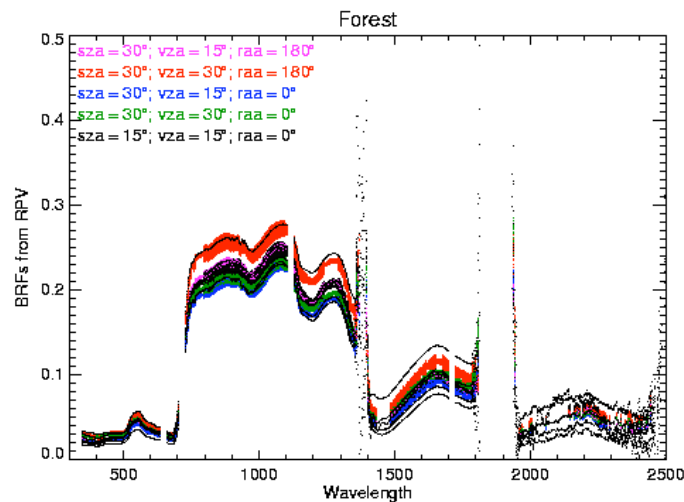
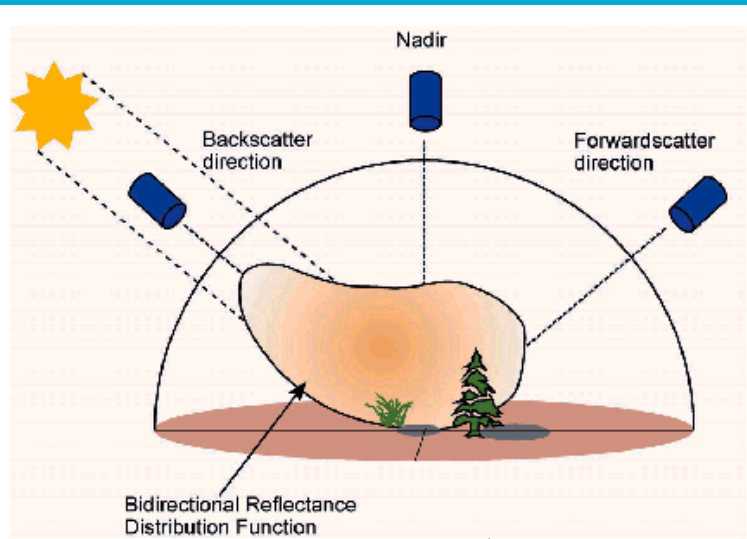


Spectral Characteristics VIS/NIR/SWIR



Leaf biochemical composition determines spectral reflectance and changes

Anisotropy of reflectance



The hemispherical reflectance $\alpha_0(\lambda)$ is related to radiances and to the bi-directional reflectance of the surface as:

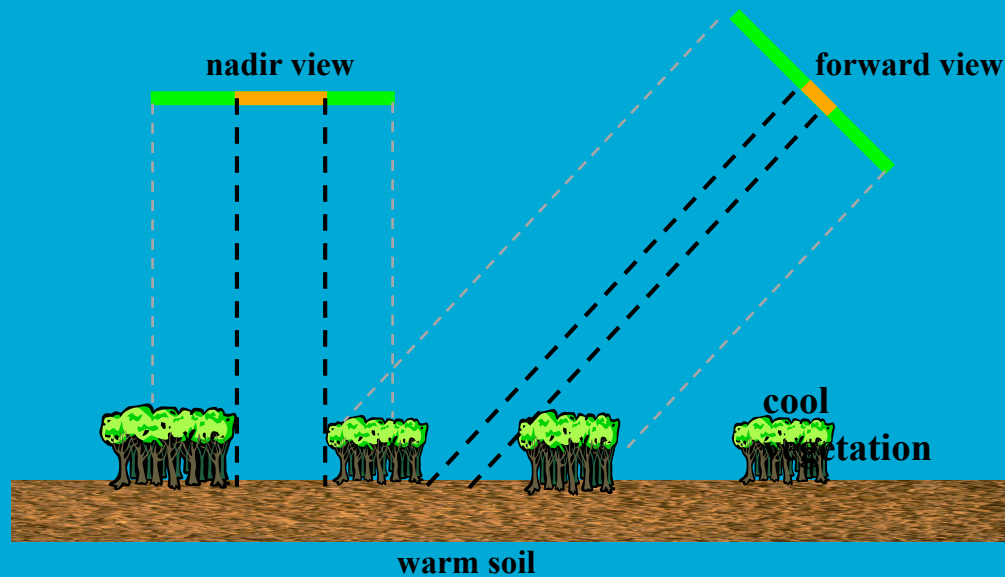
$$\alpha_0 = \int_0^{2\pi} \int_0^1 \left(\int_0^{2\pi} \int_0^1 r(\mu, \phi; \mu', \phi') \mu_0 F_0 T(\mu', \phi'; \mu_0, \phi_0) \mu' d\mu' d\phi' + r(\mu, \phi; \mu_0, \phi_0) \overline{\mu_0 F_0 \exp\left(-\frac{\tau_1}{\mu_0}\right)} \right) d\mu d\phi \left[\int_0^{2\pi} \int_0^1 (T(\mu, \phi; \mu_0, \phi_0) + \exp\left(-\frac{\tau_1}{\mu_0}\right)) \mu_0 F_0 \mu d\mu d\phi \right]^{-1}$$

where:

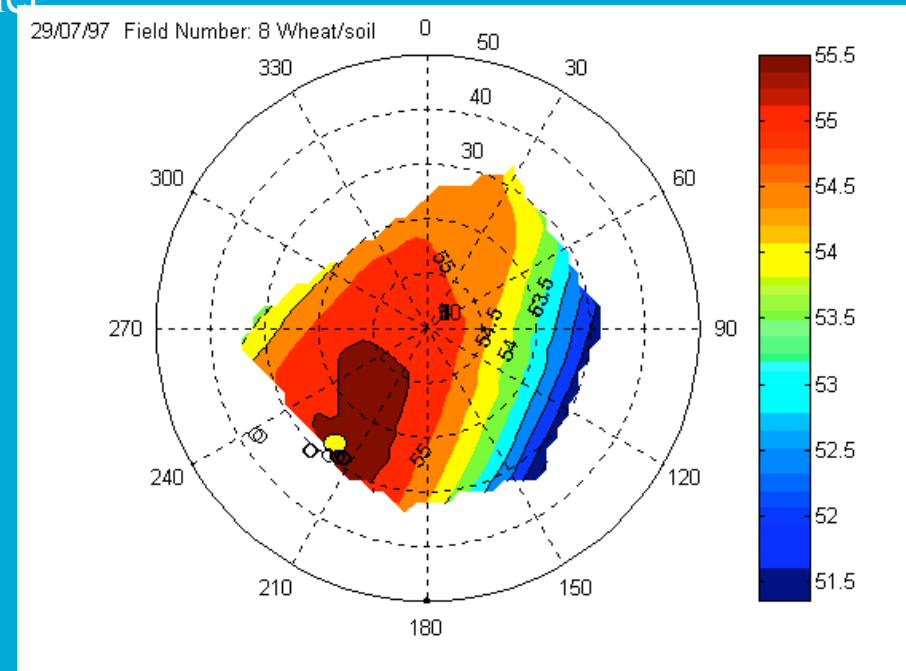
- $r(\mu, \phi; \mu', \phi')$ = reflectance of radiance of direction μ', ϕ' in the direction μ, ϕ
- μ = $\cos \Phi$, Φ = zenith angle
- τ_0 = $\cos \Phi_{\text{sun}}$
- ϕ = azimuth angle
- F_0 = solar radiance outside the atmosphere
- $T(\mu', \phi'; \mu_0, \phi_0)$ = transmission function
- τ_1 = optical depth of entire atmosphere

Thermal heterogeneity of vegetation canopies and heat transfer

Mixtures of foliage and soil = thermally heterogeneous
Radiometric temperature depends on view direction
Exitances of mixture elements can be added together

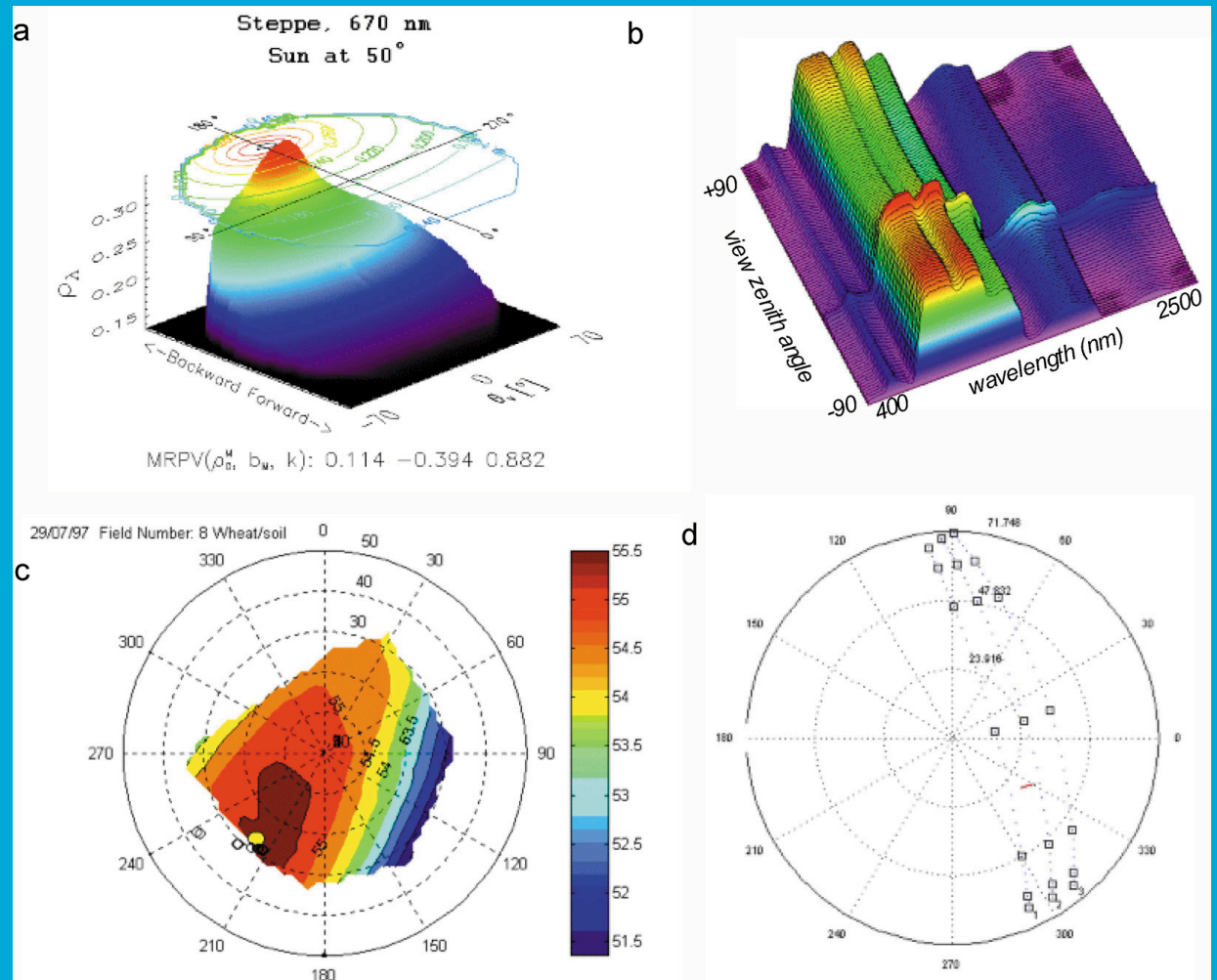


Temperature Directional Distribution (TDD)



Sampling fundamental radiometric magnitudes

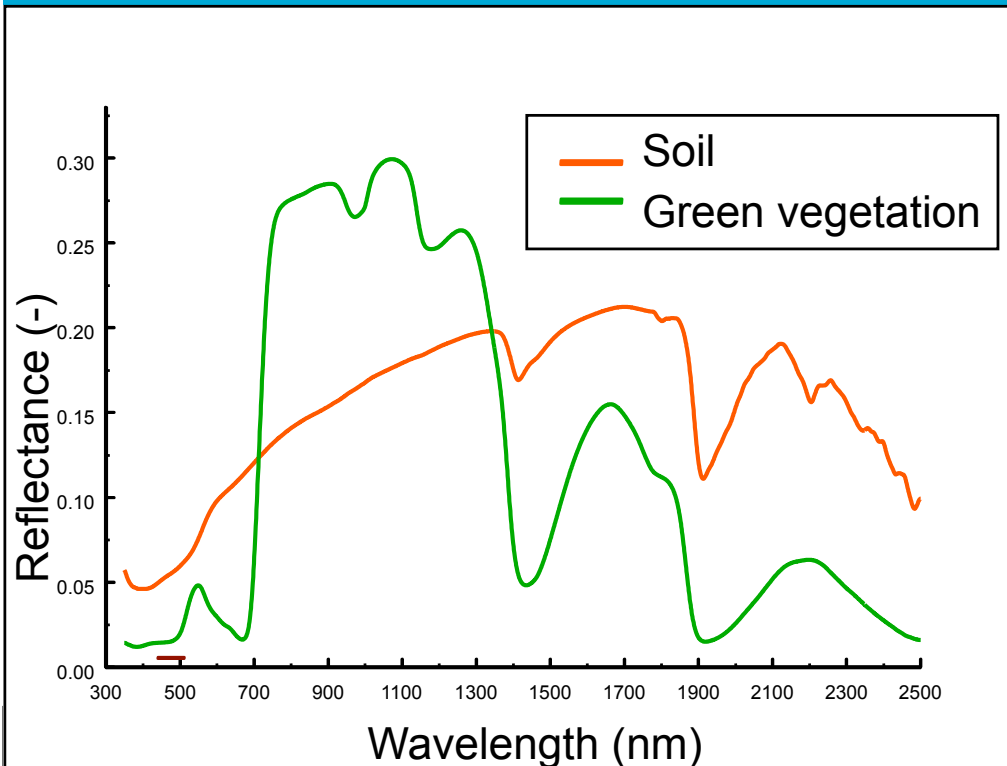
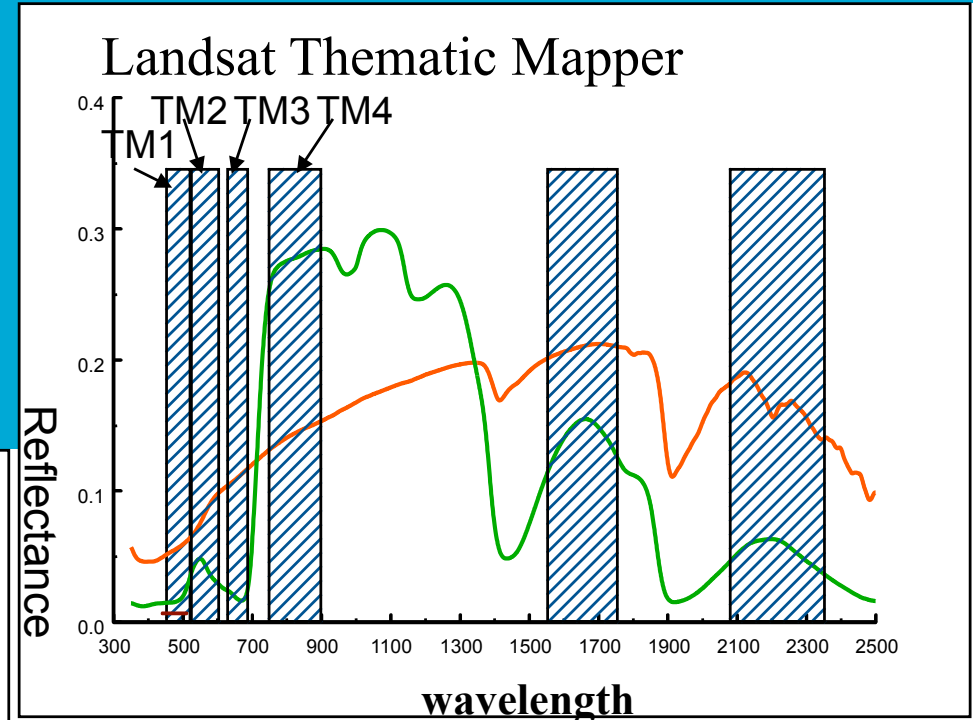
- a. Bidirectional Reflectance Factor (BRF)
- b. Hyperspectral reflectance vs. view angle
- c. Bidirectional Temperature Distribution Function (BRTF)
- d. Along track angular sampling



Angular samples must be nearly simultaneous = Along Track Observations

The simplest observation of terrestrial vegetation

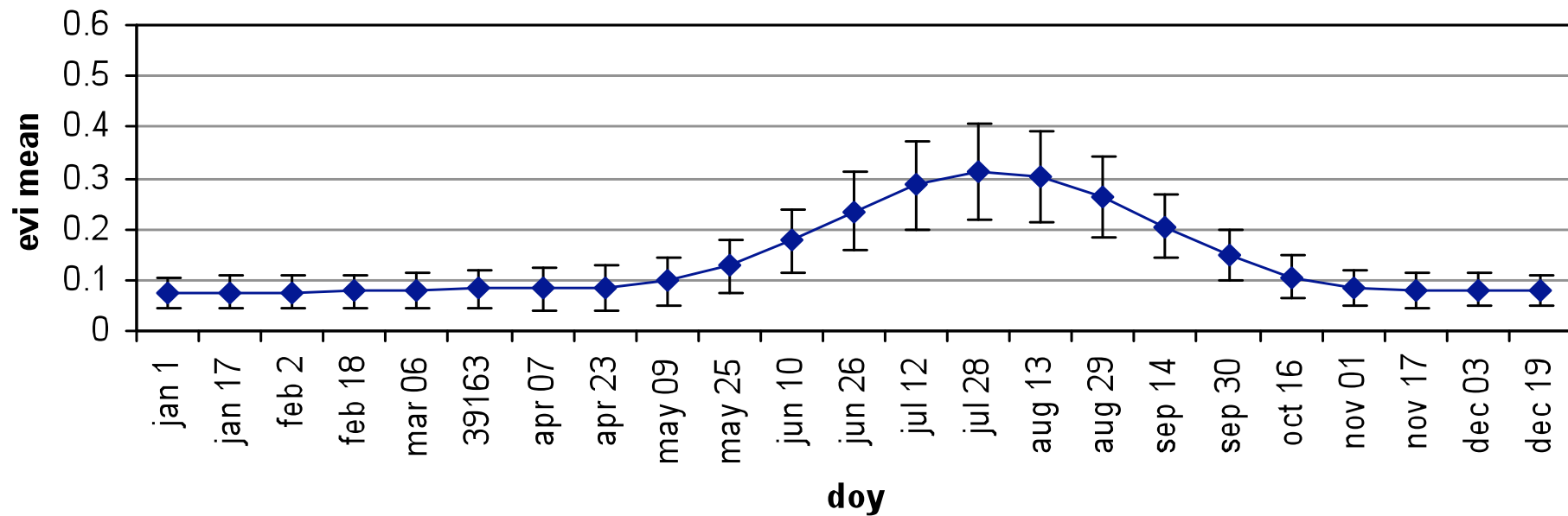
Normalized Difference Vegetation
Index =
 $(TM4 - TM3) / (TM4 + TM3)$



Biophysical observable:
Fraction Absorbed
Photosynthetically Active
Radiation

$$fAPAR = f(\rho_{\lambda})$$

Time series of VI = vegetation phenology



- VI climatology = average phenology = vegetation type
- Interannual VI variability = response of vegetation to climate variability
- Short term anomalies = response to weather (drought)

Fourier analysis of time series of satellite data

When and how it began

- **How to correct for time lag in local land surface temperature in large TIR images from geostationary satellites? Meteosat North Africa + Middle East 48 slots per day: 1987 - 88 Menenti and Verhoef**
- **Anomalies in crop phenology: AVHRR NDVI Zambia: 1989 - 90 Menenti et al.**
- **Many applications followed (see next slide)**
- **Number of published papers per year is increasing: several scientists have picked up the idea and new algorithms (e.g. wavelets)**
- **Applications range from classifications of biomes, to the determination of the length of growing season to the observation of vegetation response to climate variability to monitoring of ET**

Drought, phenology, NDVI (t) and fAPAR(t)

drought = Budyko aridity index = net radiation / precipitation

vegetation phenology = Fourier transform of NDVI (t)

NDVI (t) AVHRR

Africa = monthly composites 7.6 x 7.6 km aug 1981 - dec 1992

South America = same jan 1982 - jun 1992

Europe = 10 days composites 1 x 1 km 1990, 1991, 1995, 1996, 1997

**W-Argentina = monthly composites 7.6 x 7.6 km
Jul 1982 Jun 1991**

fAPAR (t) MODIS

Tibet = 8 days composites 1 km x 1 km jan 2000 – sept 2006

Sichuan = 8 days composites 1 km x 1 km jan 2000 – dec 2006

HANTS algorithm

- **Harmonic ANalysis of Time Series**
- **Generalisation of MVC (maximum value compositing)**
- **Curve fitting using sine and cosine basis functions**
- **Amplitude and phase parameters contain concise information on response of vegetation**
- **Iterative process of outlier removal**
- **Largest negative outliers are removed first**
- **Process stops if:**
 - 1) **error of fit $<$ threshold**
 - 2) **number of remaining points becomes too small**
- **Output: mean values plus amplitudes and phases of all low-frequency harmonics (user-defined)**

Benefits

- **Data reduction**
- **Cloud removal**
- **Synthesis of smoothed time series of images**

Harmonic model of time series

$$y(t) = a_0 + \sum_{i=1}^n a_i \cos(\omega_i t - \varphi_i)$$

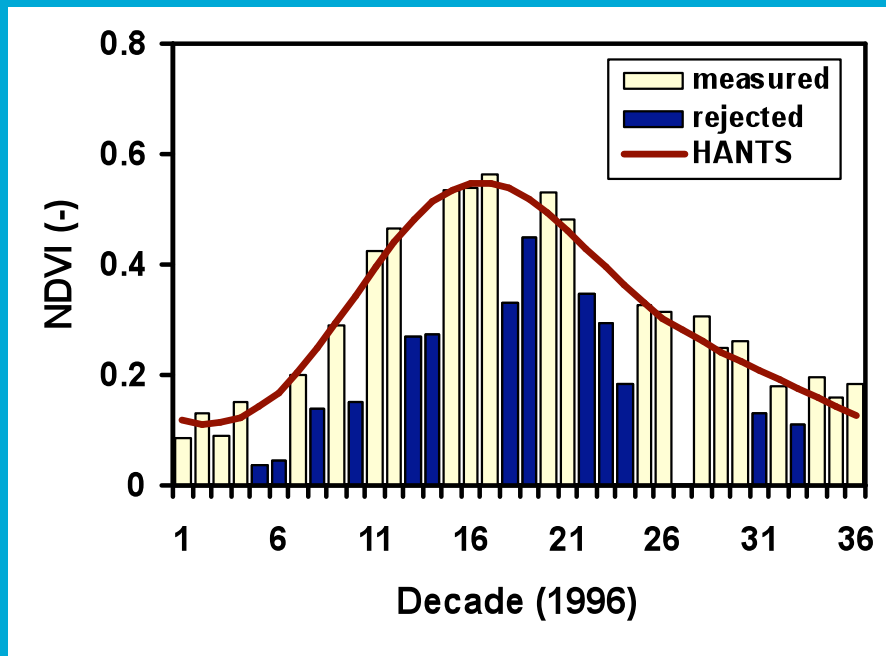
a = amplitude

ω = frequency $\times 2\pi$

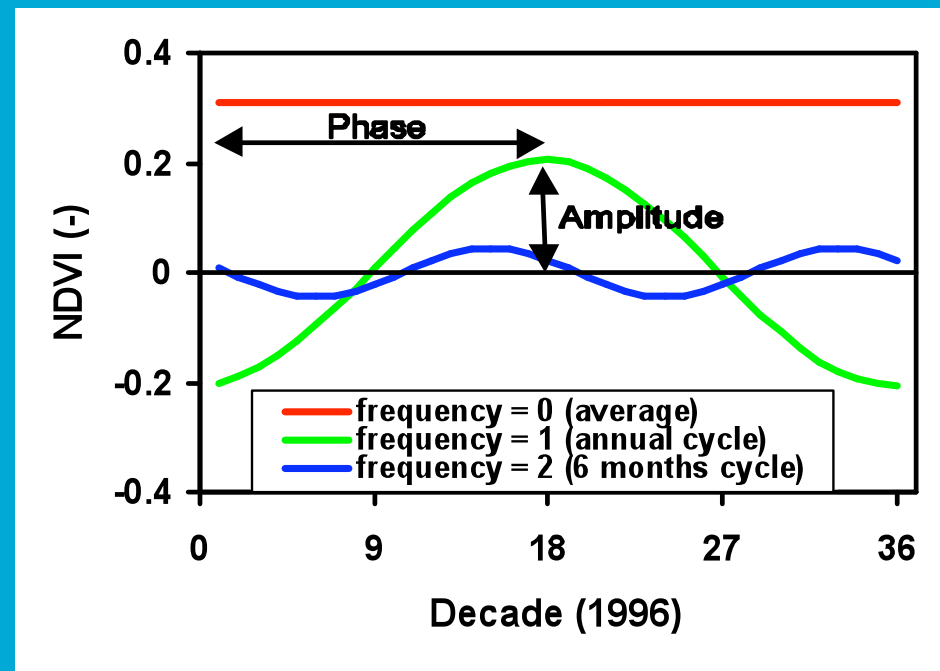
φ = phase

How does HANTS work?

- Time average, yearly and 6 months amplitude + phase used to reconstruct observations
- outliers detected and eliminated



bars = observations

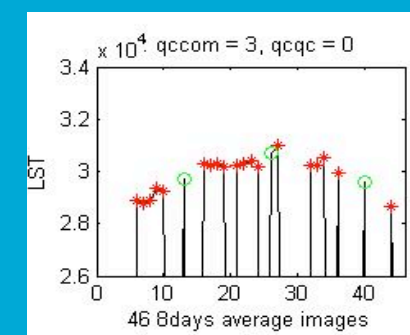
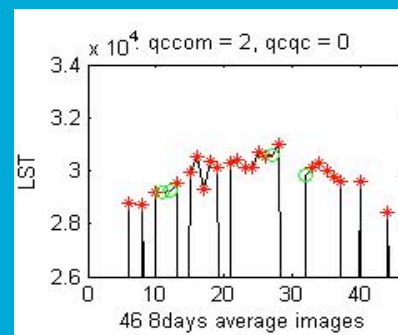
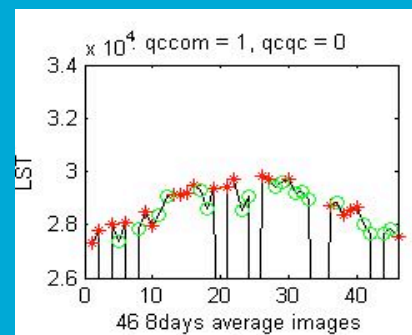
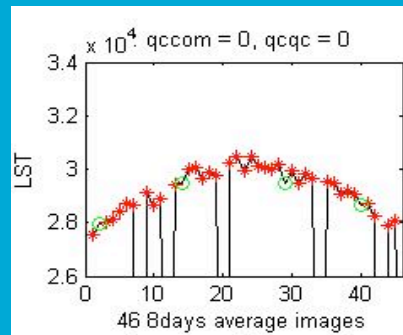


dominant coefficients

Cloud-free time series construction

Defining a quality check (QC) indicator of the satellite time series data by some criteria:

- Ratio of missing to potential observations;
- Largest continuous gap in the observations;
- Number of gaps;
- Retrieval quality of land surface parameters.



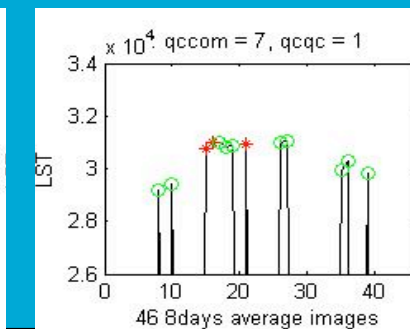
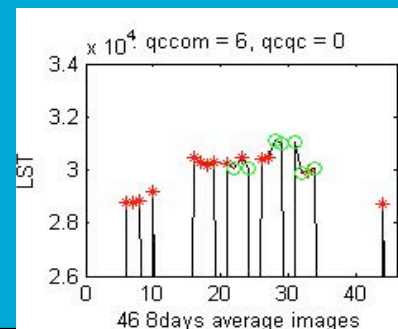
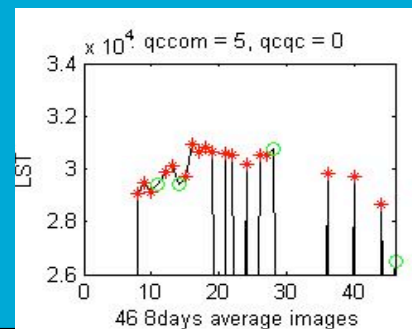
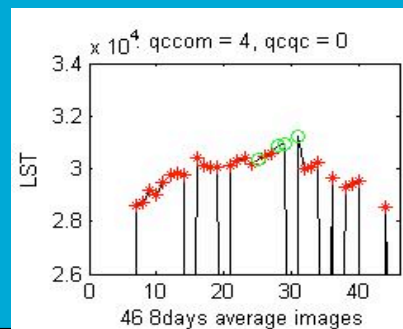
Best

QC = 0

QC = 1

QC = 2

QC = 3



QC = 4

QC = 5

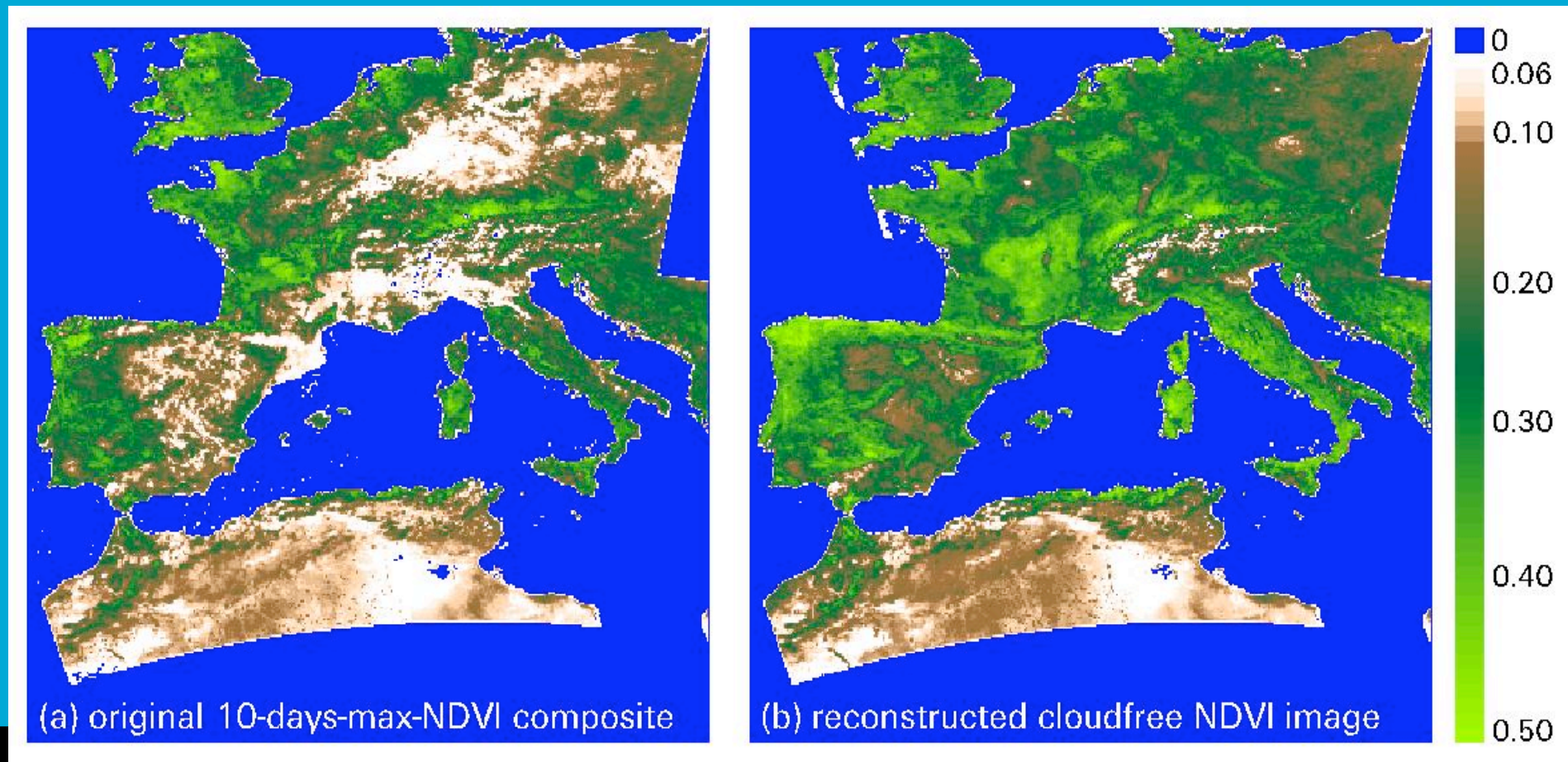
QC = 6

QC = 7 Worst

Cloud screening and modeling of time series

•Clouds elimination - HANTS

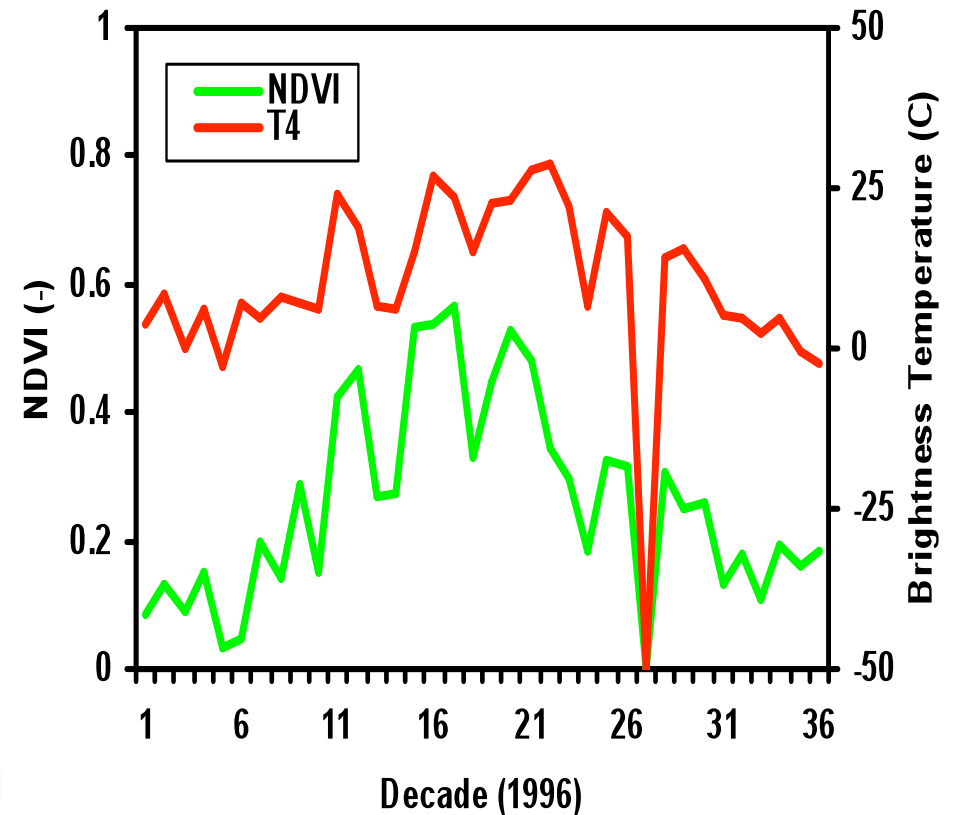
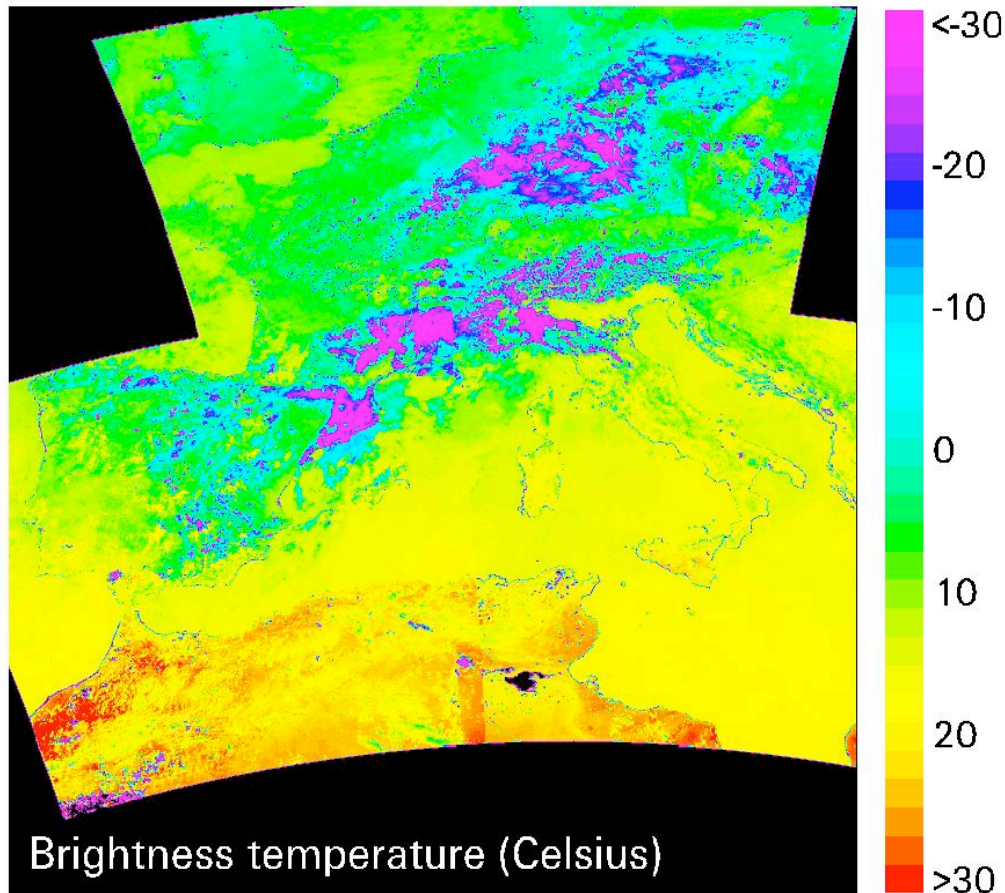
•interpolation of land observations - HANTS



Cloud elimination: validation

•Clouds = low temperature

•Clouds = low NDVI AND low temperature



**Measure and Visualize Phenology:
Intensity Hue Saturation
transform of Fourier coefficients**

IHS-transform for colour compositing HANTS results

Colour indicates time of maximum NDVI during the year

$$r = M \times \left[1 + \frac{A}{A_{\max}} \cos(P - 240^\circ) \right]$$

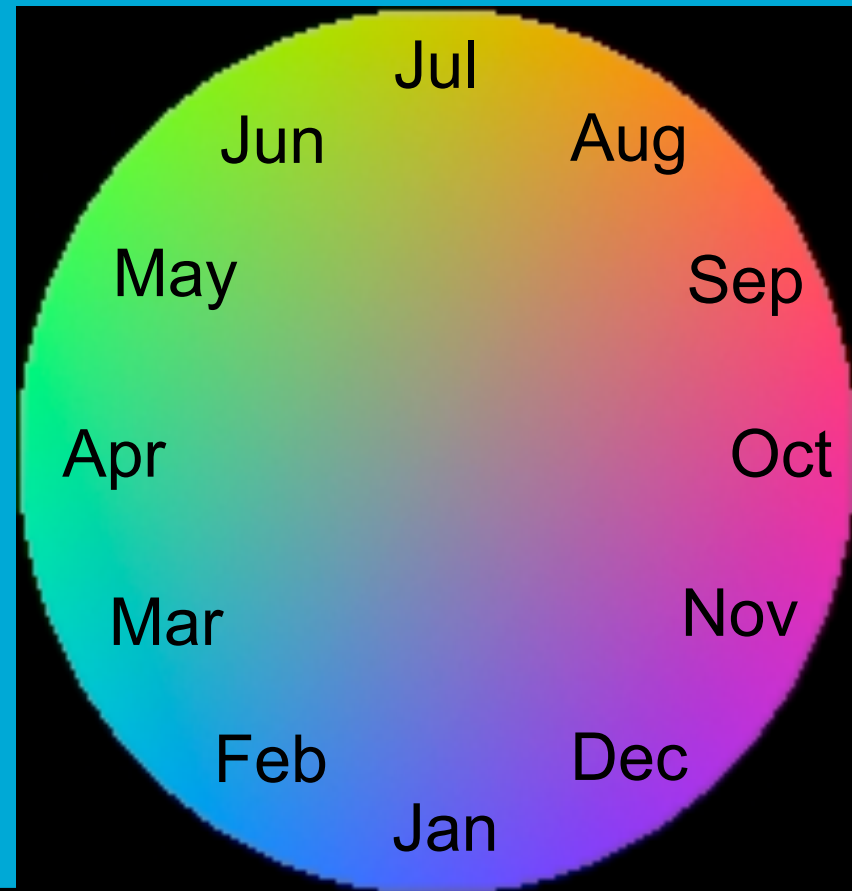
$$g = M \times \left[1 + \frac{A}{A_{\max}} \cos(P - 120^\circ) \right]$$

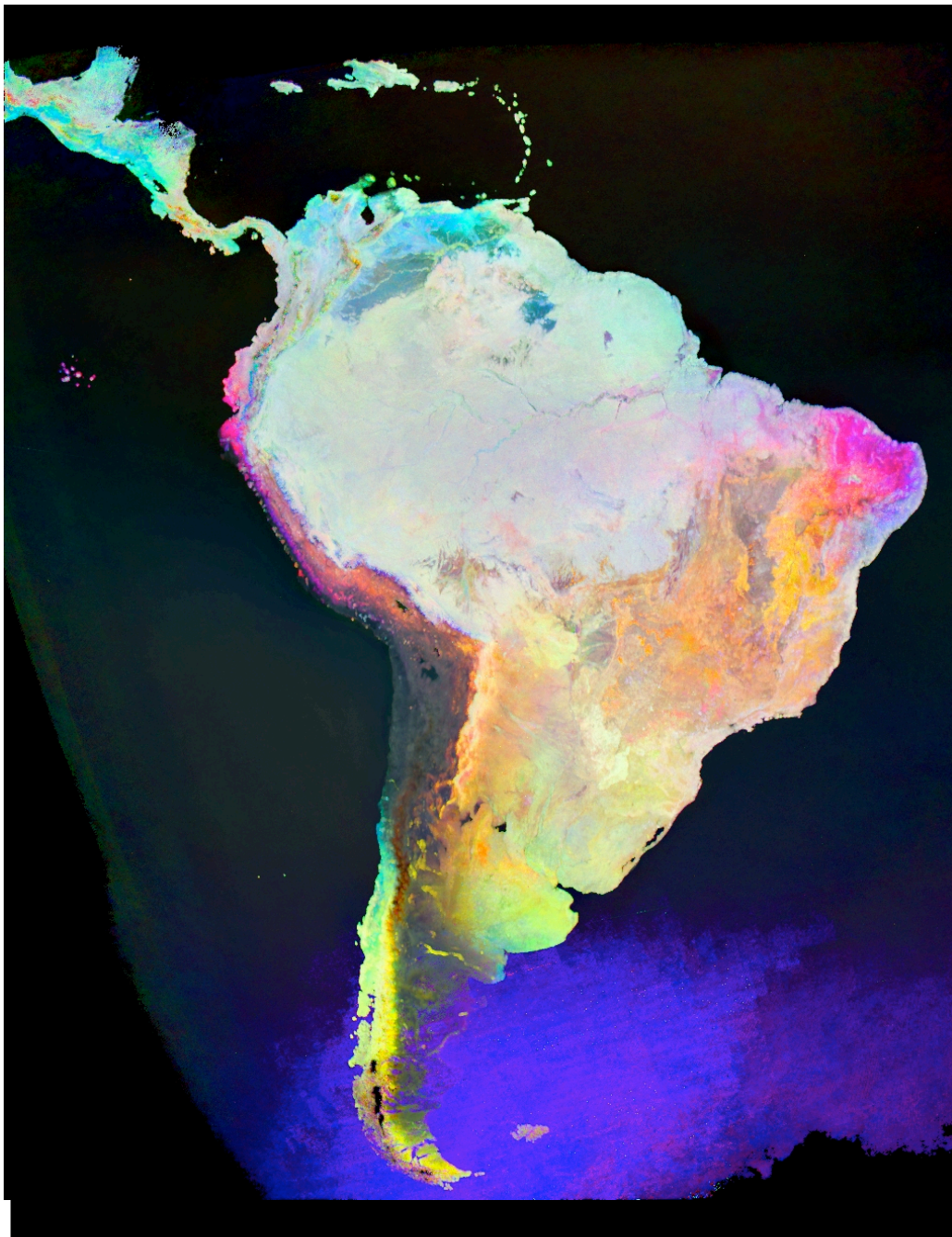
$$b = M \times \left[1 + \frac{A}{A_{\max}} \cos P \right]$$

M = mean NDVI

A = amplitude

P = phase (deg)





South America

108 NOAA-AVHRR NDVI monthly composites of 1982-1991 (source NASA GSFC) processed by HANTS algorithm

IHS colour transformation:

Annual mean => Intensity
Phase => Hue
Annual amplitude => Saturation

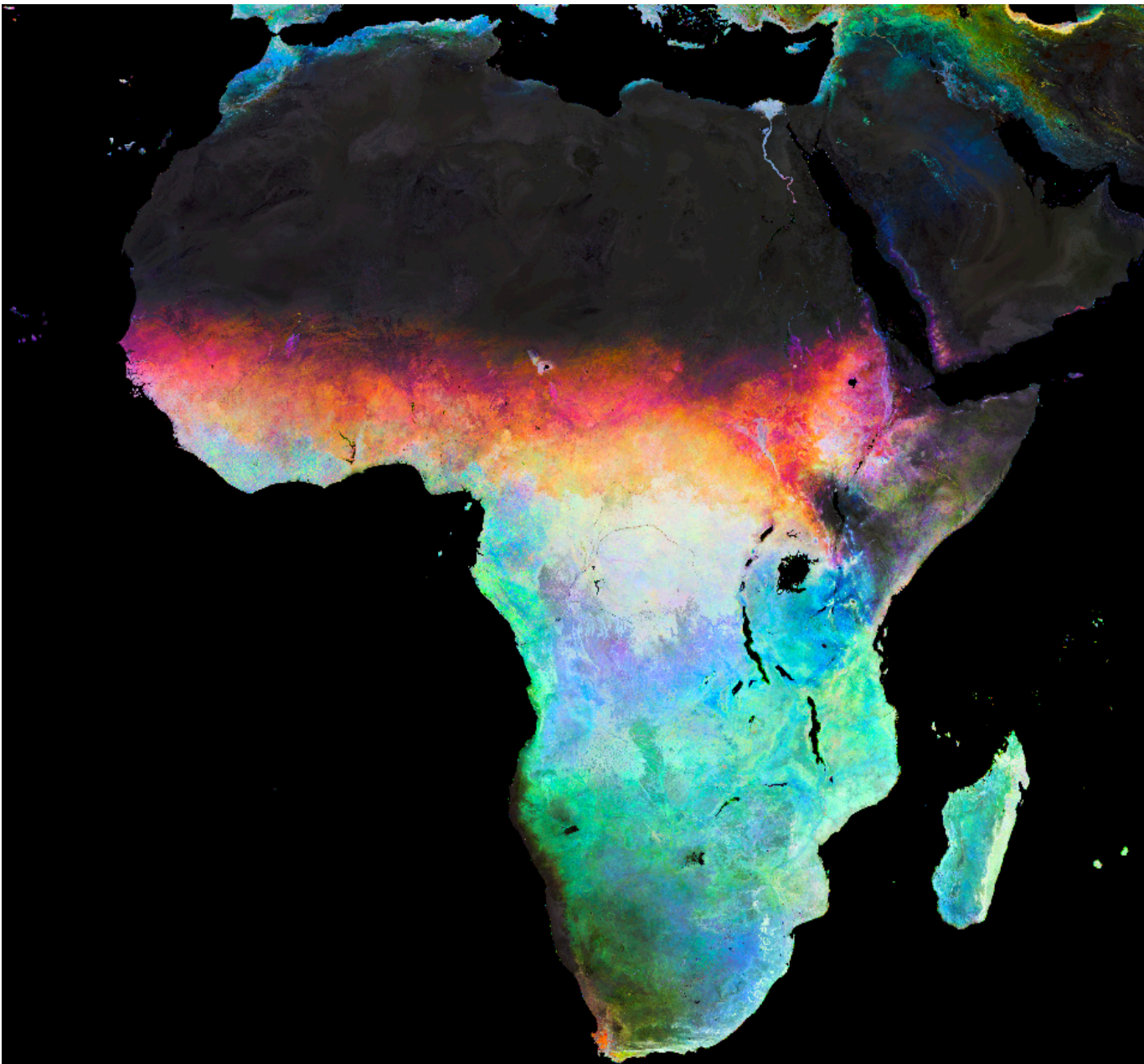
Phase of maximum NDVI <>
Colour:

Jul	Blue
Sep	Cyan
Nov	Green
Jan	Yellow
Mar	Red
May	Magenta

Note the blue region. This is an artifact due to the “terminator effect”, which gives artificially high NDVIs in the winter period

South-East Asia (data VITO 2000-2001)



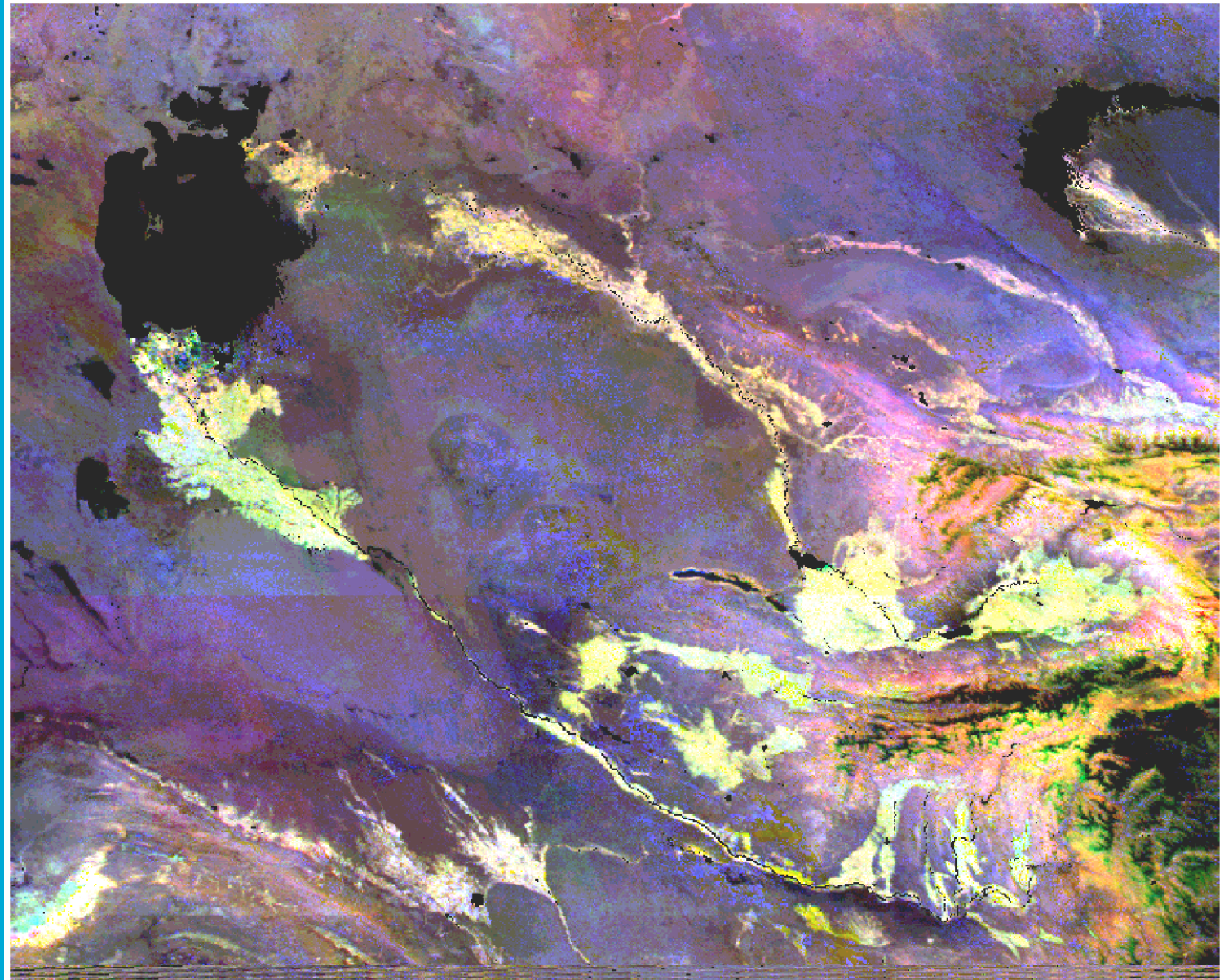


**Africa IHS SPOT-
VEG image at ~10
km resolution (data
2000-2001 from
VITO)**

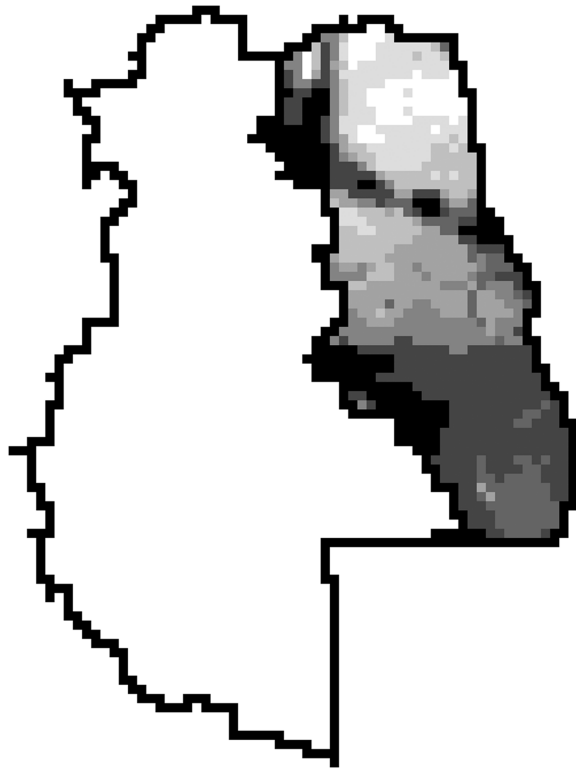
**Classification of
Land Cover – Soil – Climate complexes
based on phenology**

Aral Basin 1992

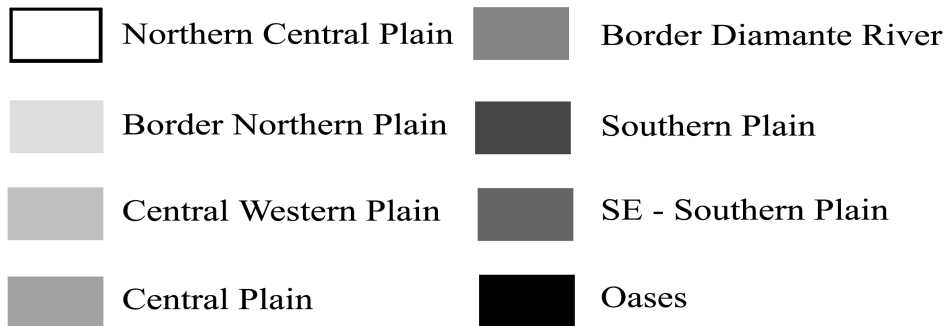
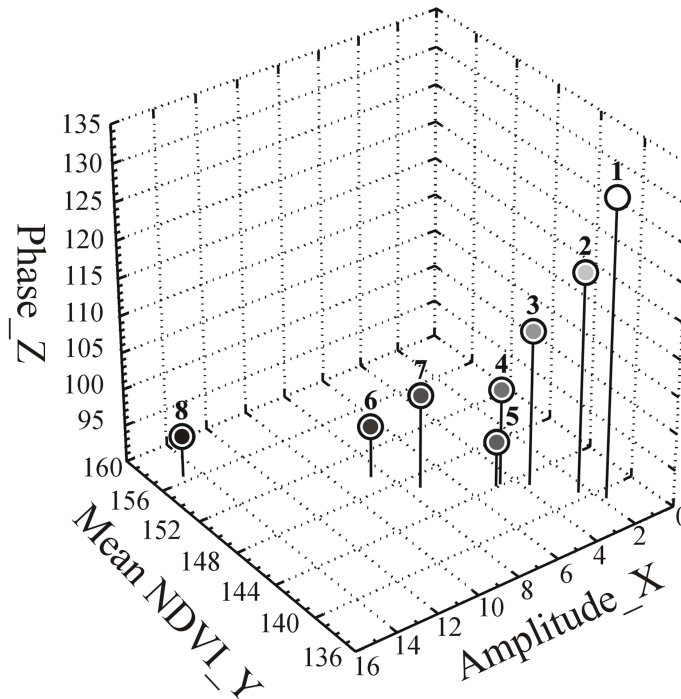
Colour composite of multitemporal images of the Soil Adjusted Vegetation Index (SAVI) calculated with AVHRR Ch1 and Ch2 reflectance at 1 km spatial resolution, Aral Sea Basin 1992: SAVI (April)= red; SAVI (june)= green; SAVI (august)= blue; area is 1568 km x 1232 km; greenish areas = irrigated lands



(a) Map of foliar isophenology



(b) 3D Scatterplot of class mean values



Western Argentina

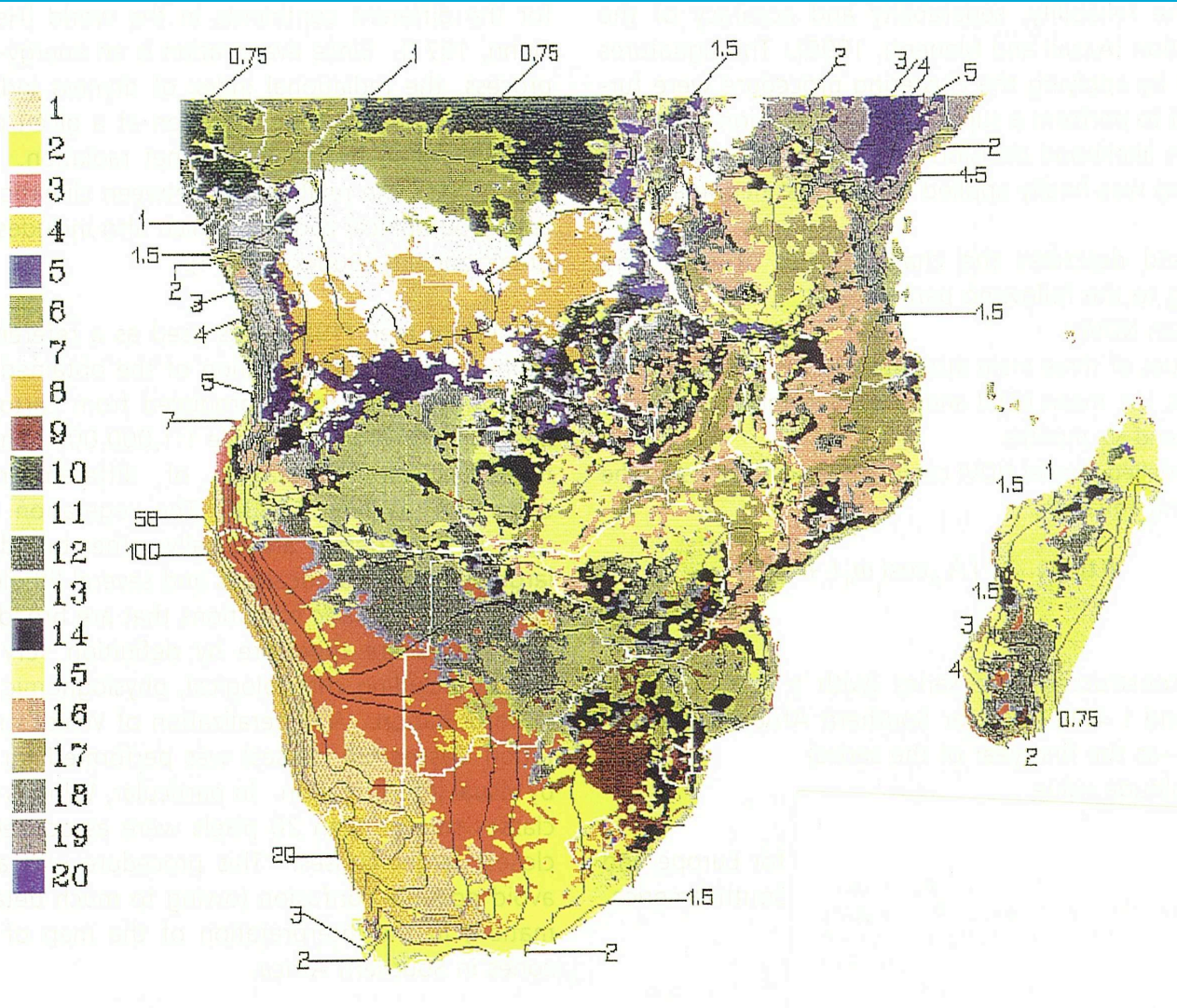
July 1982 – June 1991

Loyarte et al. , 2008

Southern Africa 1981 – 1992

Azzali and Menenti, 2000

Southern Africa
-AVHRR NDVI
-1981 1992
- 7.6 x 7.6 km

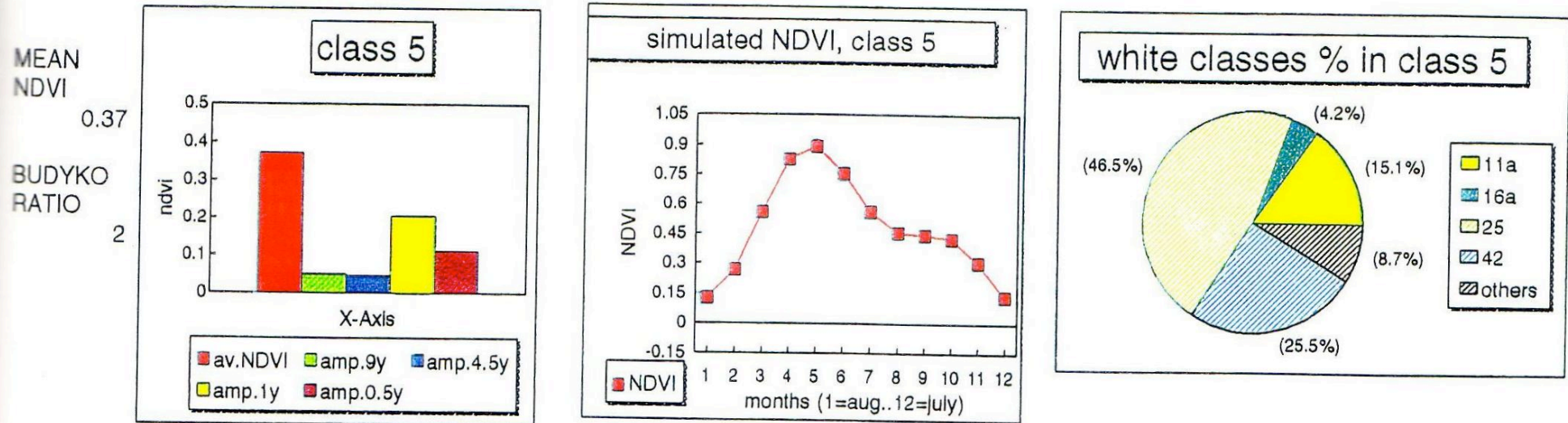


Isolines:
Budyko ratio R_n / P

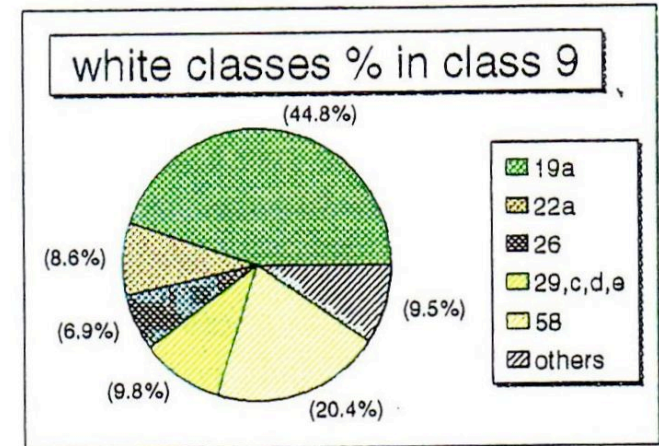
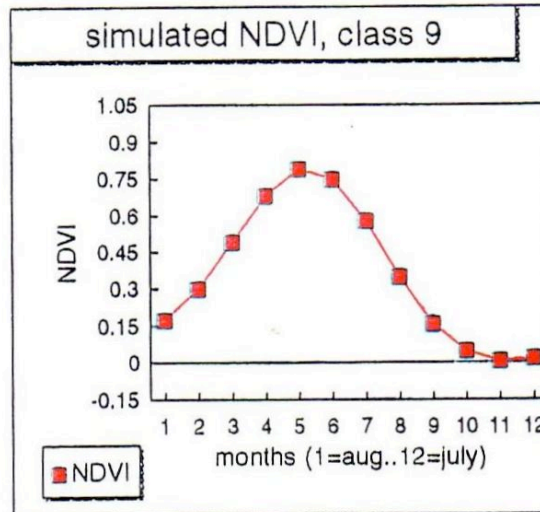
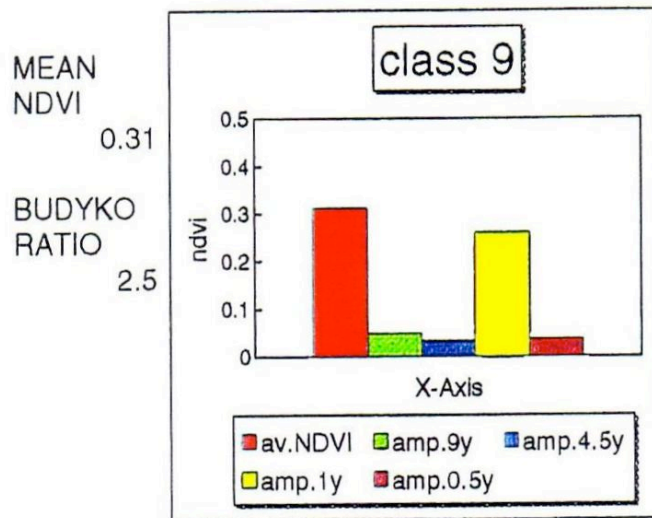
Azzali and Menenti, 2000

Jornadas SOLERES – Almeria 2/3/2010

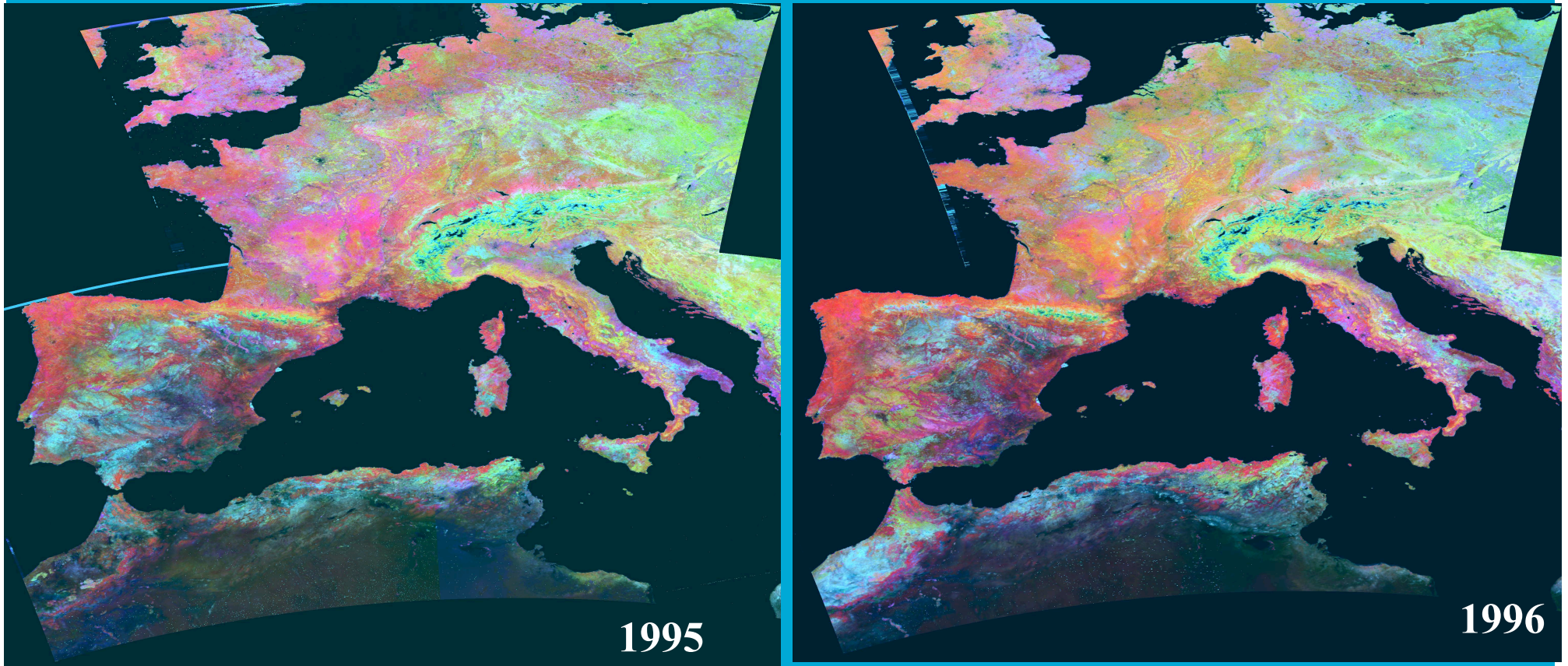
Soil Vegetation Climate Complexes



Soil Vegetation Climate Complexes



Interannual variability Europe – North Africa 1990 - 1997

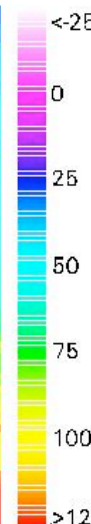
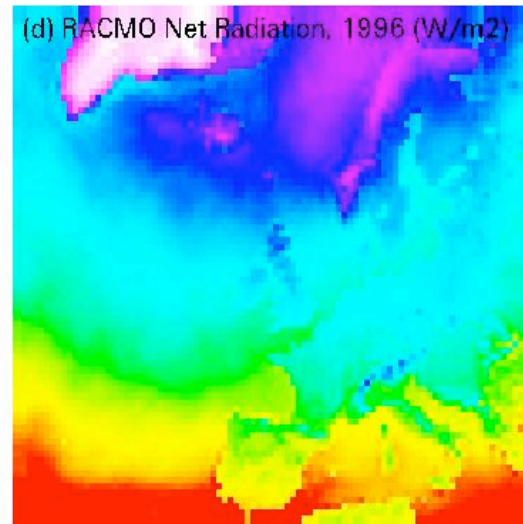
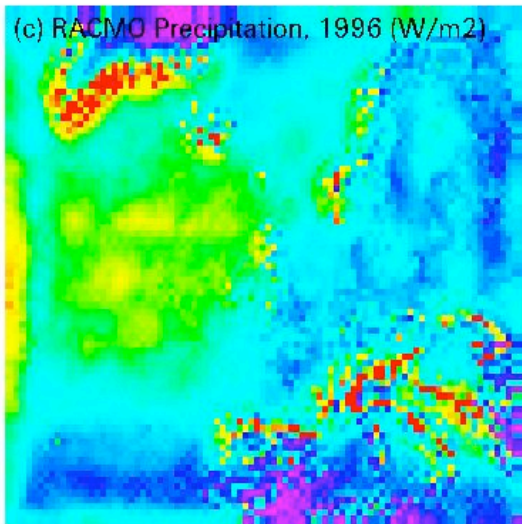
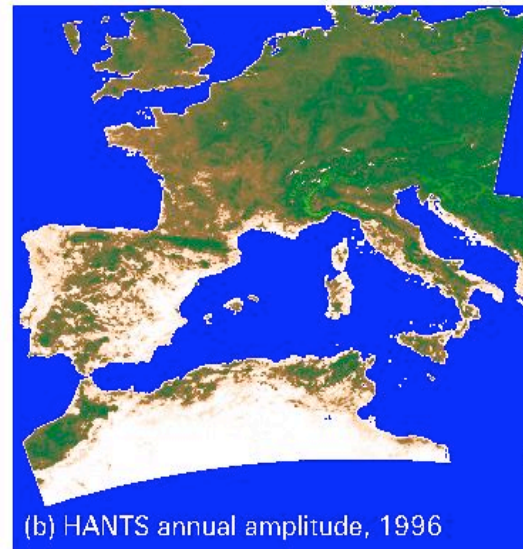
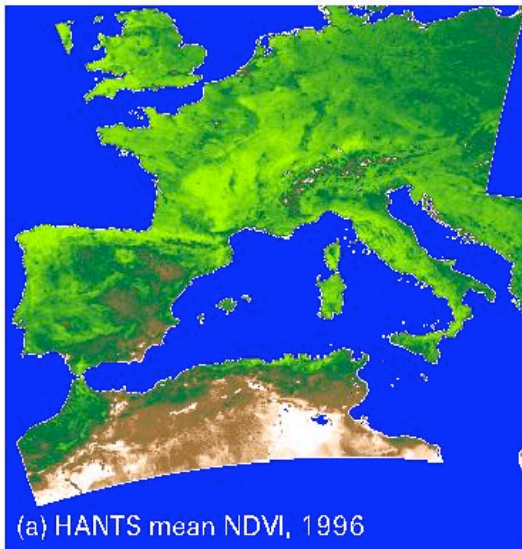


Map of HANTS (Harmonic Analysis of Numerical Time Series) Fourier components of NDVI of 1995 (a) and 1996 (b); red = mean NDVI; green = amplitude of 1 year; blue = amplitude of 6 months

Vegetation phenology – aridity

AVHRR - mean

AVHRR - Ampl



•Response of land surface

•change mean NDVI, yearly amplitude

•change P / Rn

•AVHRR aggregated at NWP resolution

•interannual variability

NWP - P

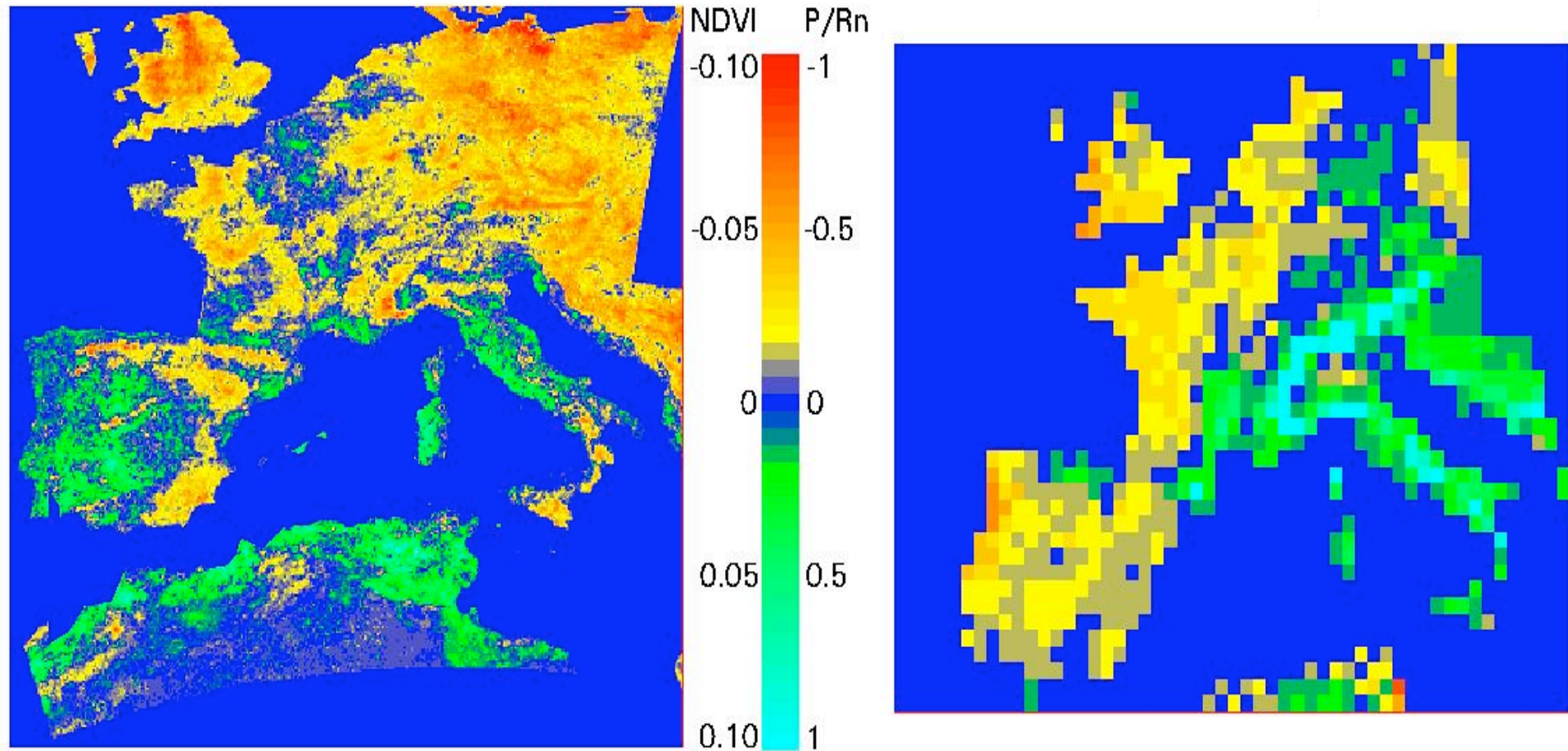
NWP - Rn

Jornadas SOLERES – Almeria 2/3/2010

Europe 1996 vs. 1997

• Mean NDVI 1996 - mean NDVI 1997

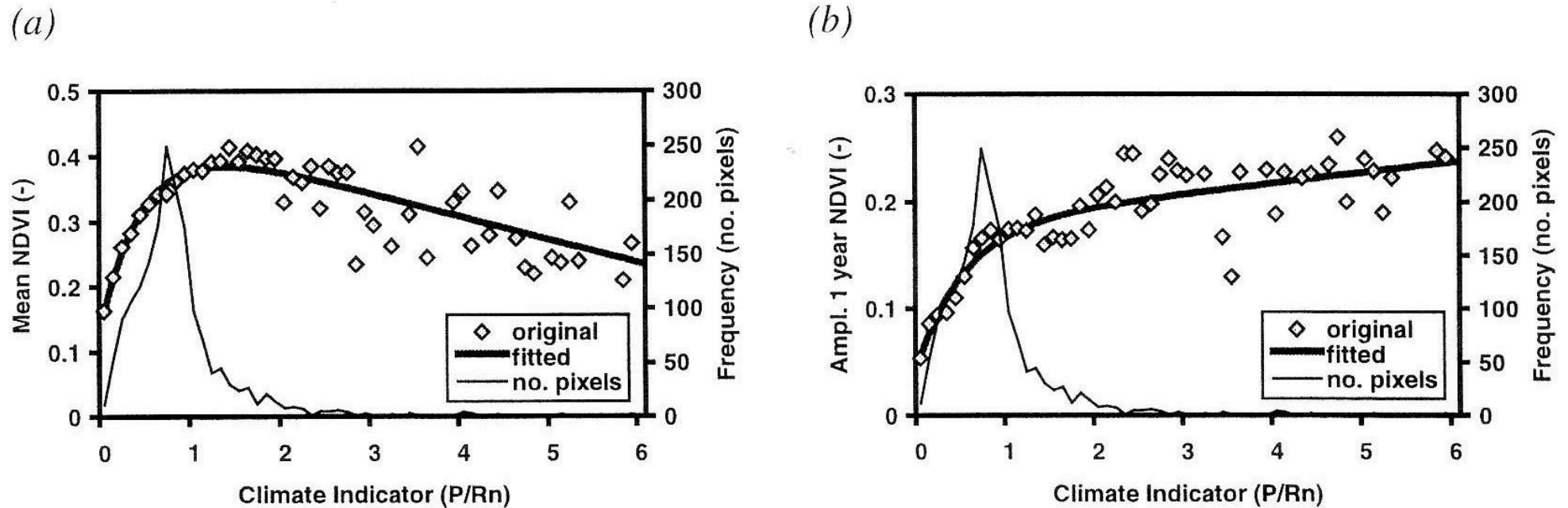
• P/Rn 1996 - P/Rn 1997



Spatial response of vegetation phenology

mean NDVi vs wetness

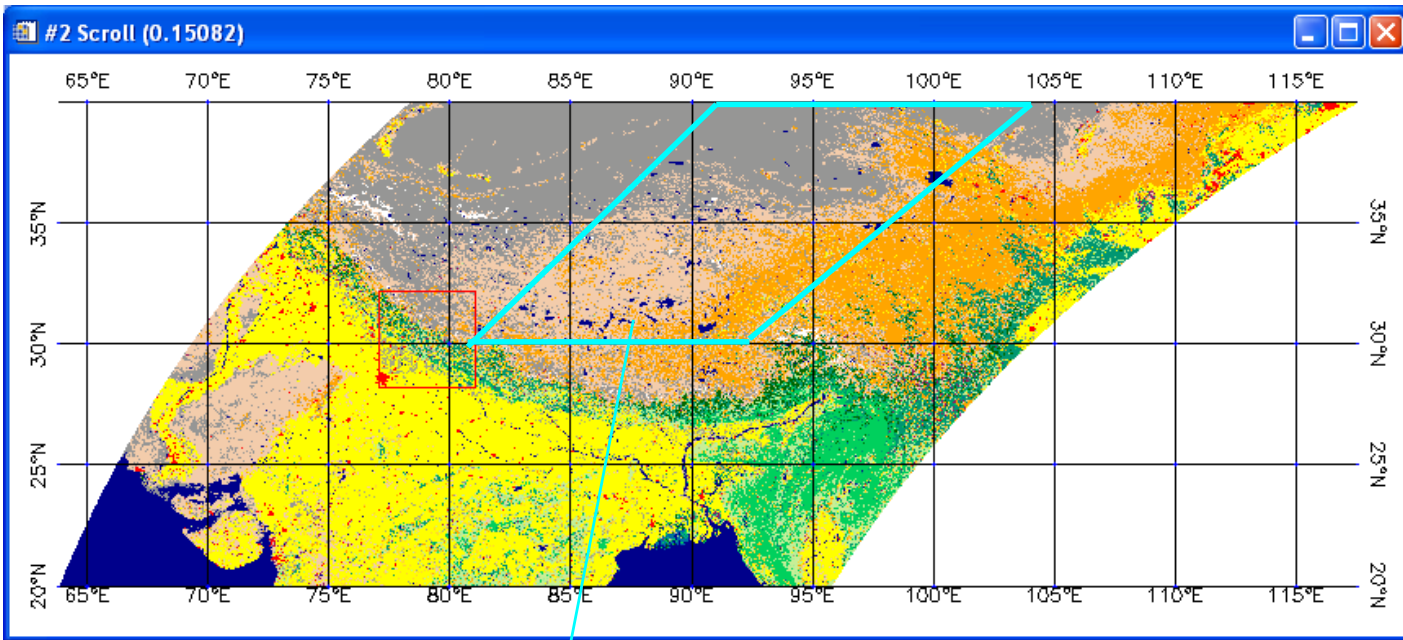
yearly amplitude NDVi vs wetness



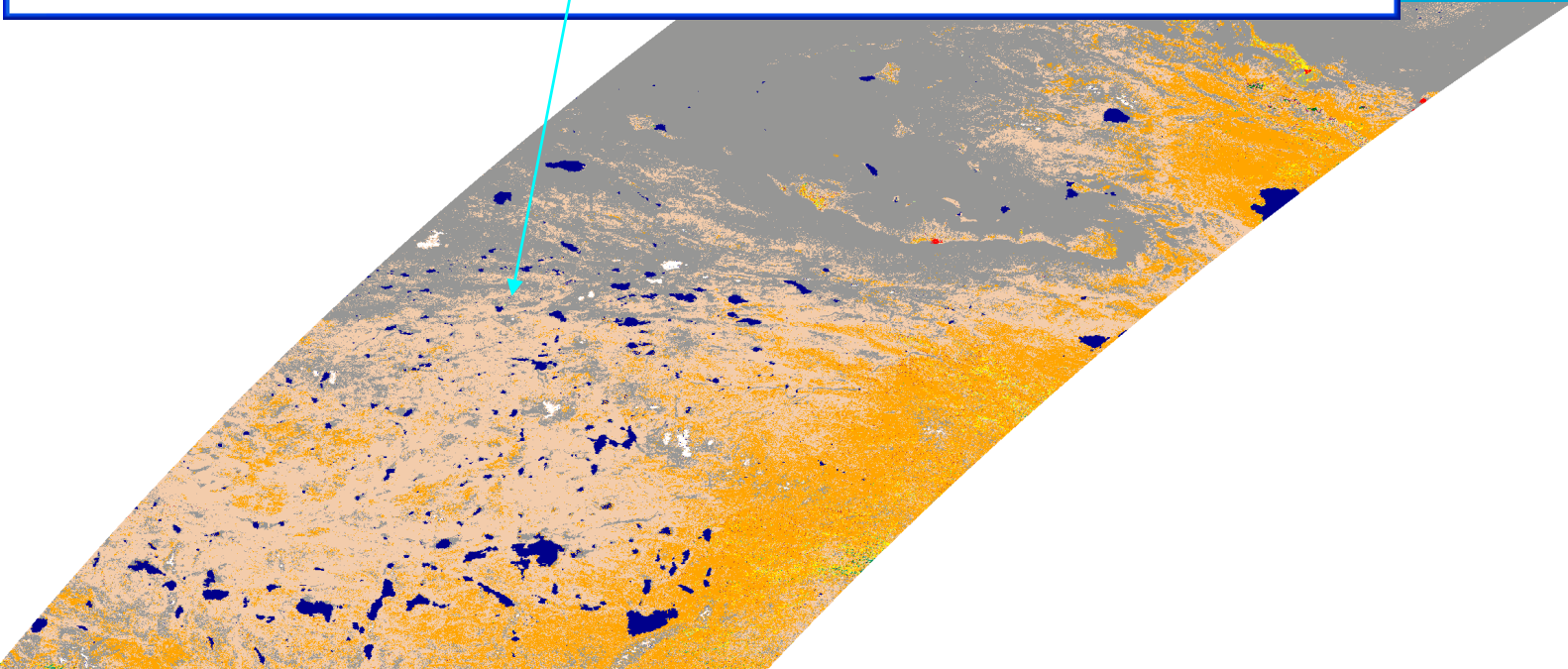
Case studies Tibet and Sichuan

2001 – 2005

Jia and Menenti, 2006

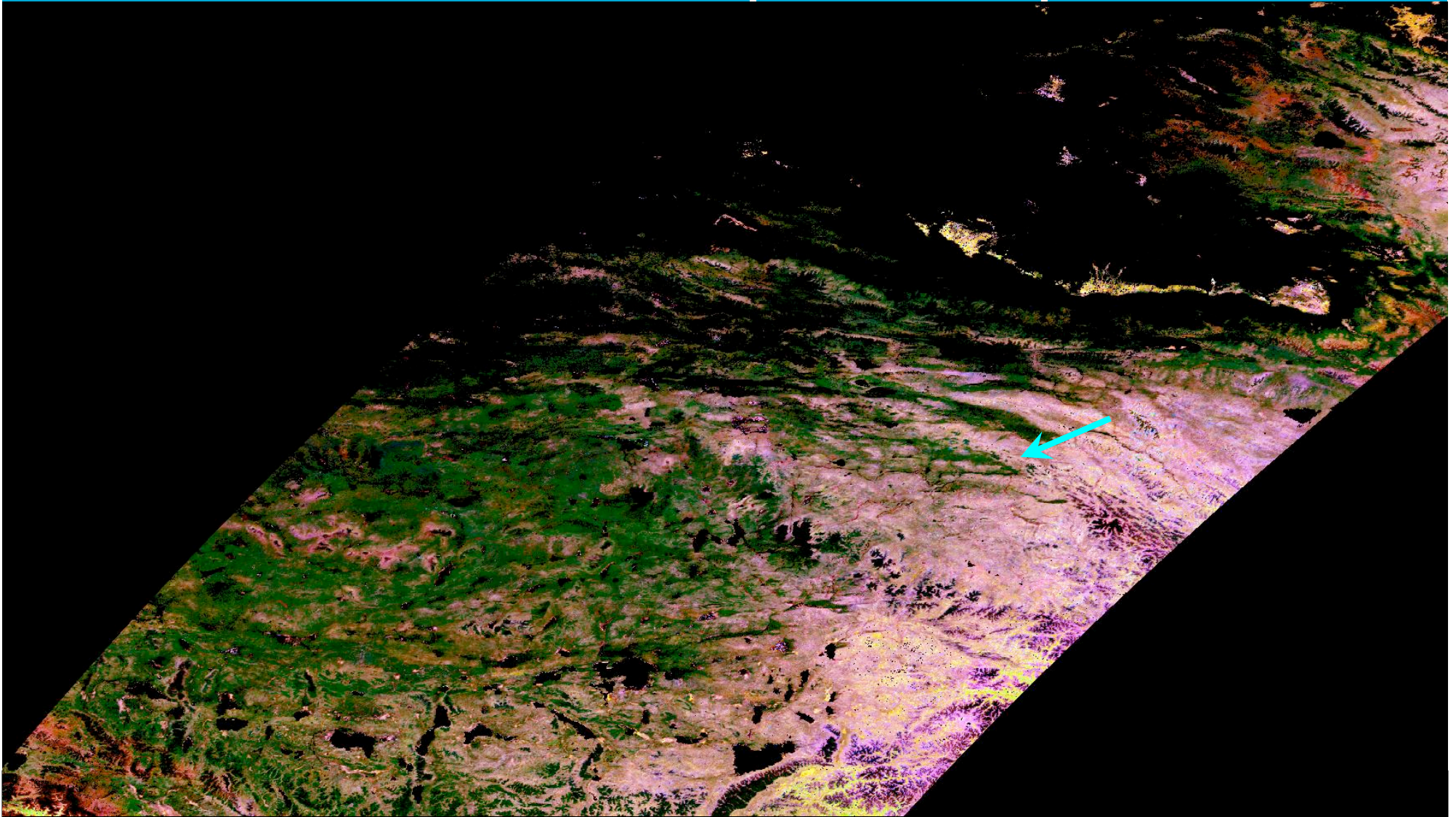


CLASSIFICATION	INDEX	COLOR
water	0	Dark Blue
evergreen needleleaf forests	1	Dark Green
evergreen broadleaf forests	2	Bright Green
deciduous needleleaf forests	3	Light Green
deciduous broadleaf forests	4	Light Green
mixed forests	5	Medium Green
closed shrublands	6	Purple
open shrublands	7	Light Orange
woody savannas	8	Light Green
savannas	9	Yellow
grasslands	10	Orange
permanent wetlands	11	Dark Blue
croplands	12	Yellow
urban and built-up	13	Red
cropland/natural vegetation	14	Grey
snow and ice	15	White
barren or sparsely vegetated	16	Grey
unclassified	254	Black



fAPAR 2001:

G = mean fAPAR, R = Amp-12, B = Amp-6



2001

2004

2002

2005

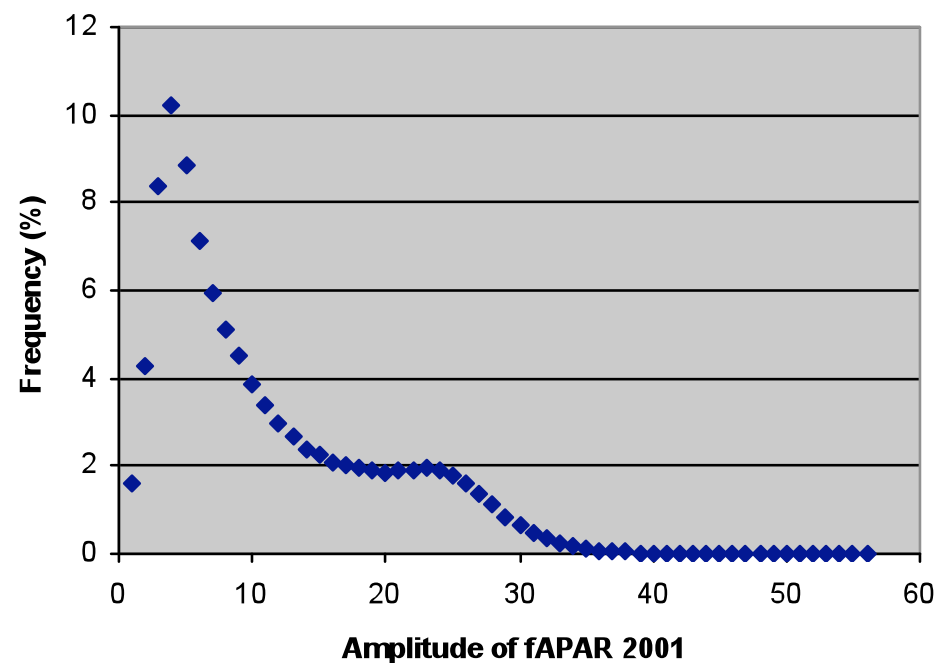
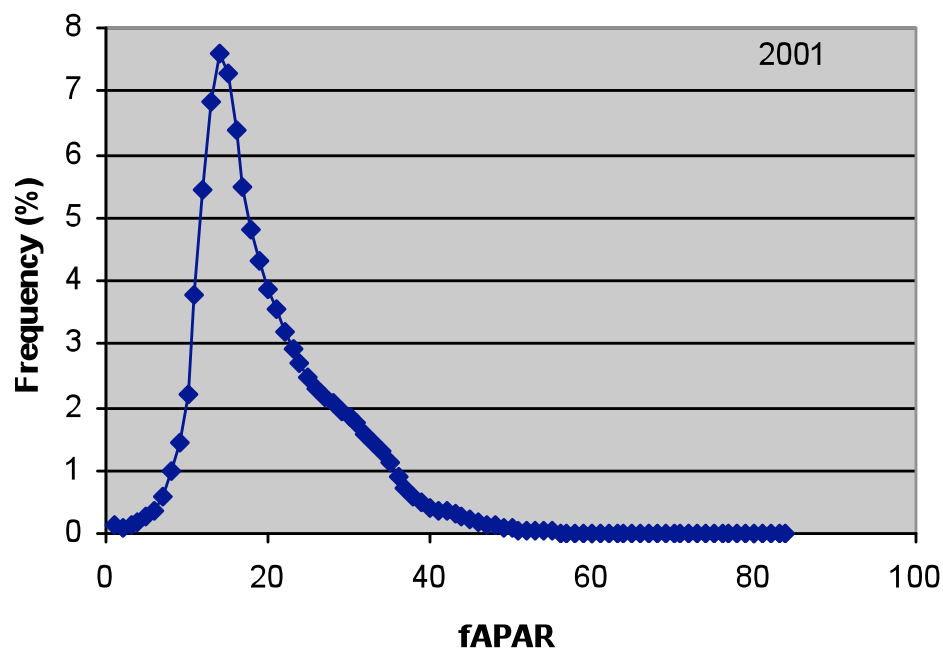
2003

**Phenology from RGB colour
composite of Fourier coefficients**

Same range of values for all years

Basic statistics on observations

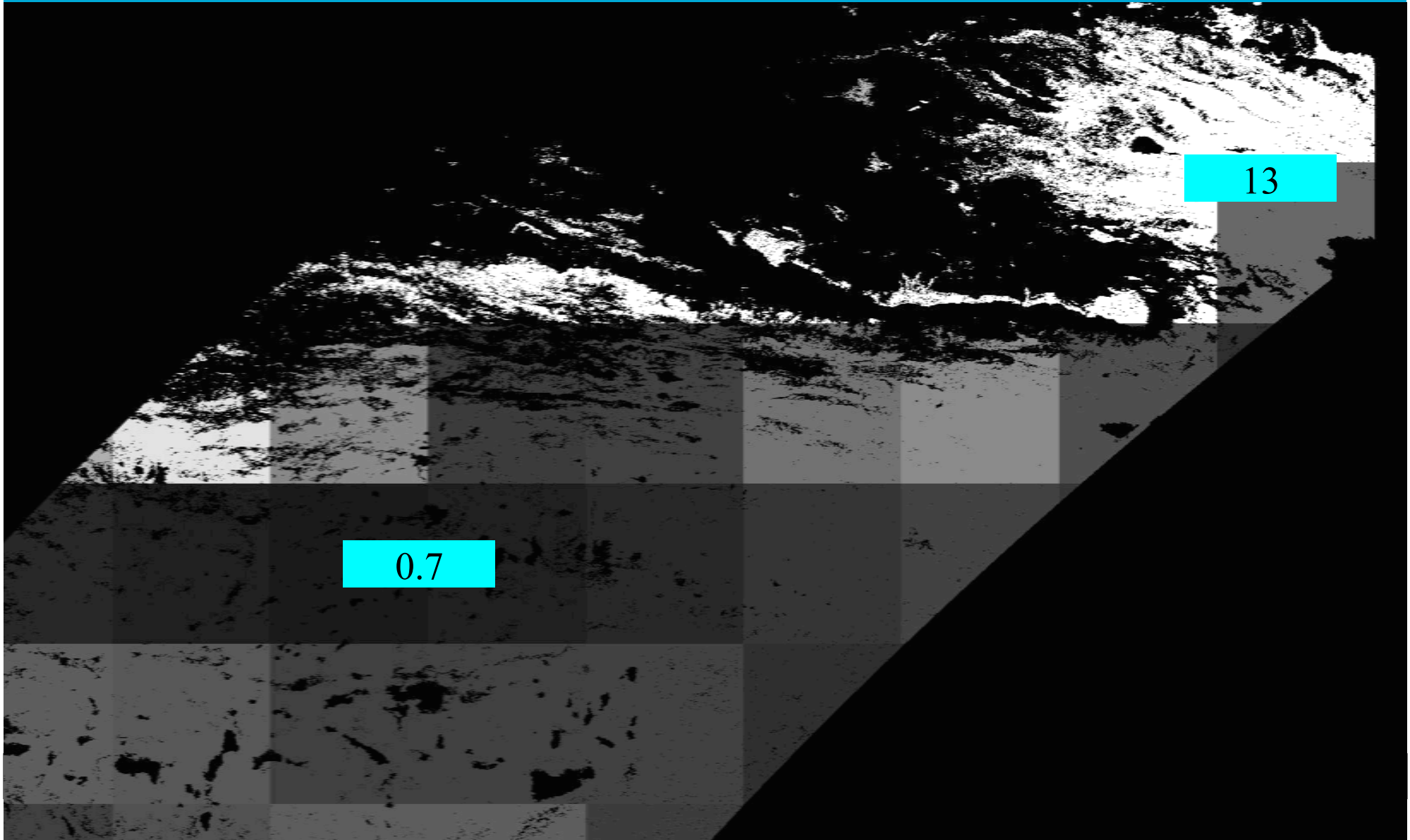
2001-2005	Δ fPAR	Δ A12	Δ A6	Δ Rn/P	
Mean	1.0661	-0.0612	0.4082	4.09	
Std	3.08	3.84	2.43	15.35	
Min	-42	-41	-43	-2.03	
Max	50	46	40	93.38	



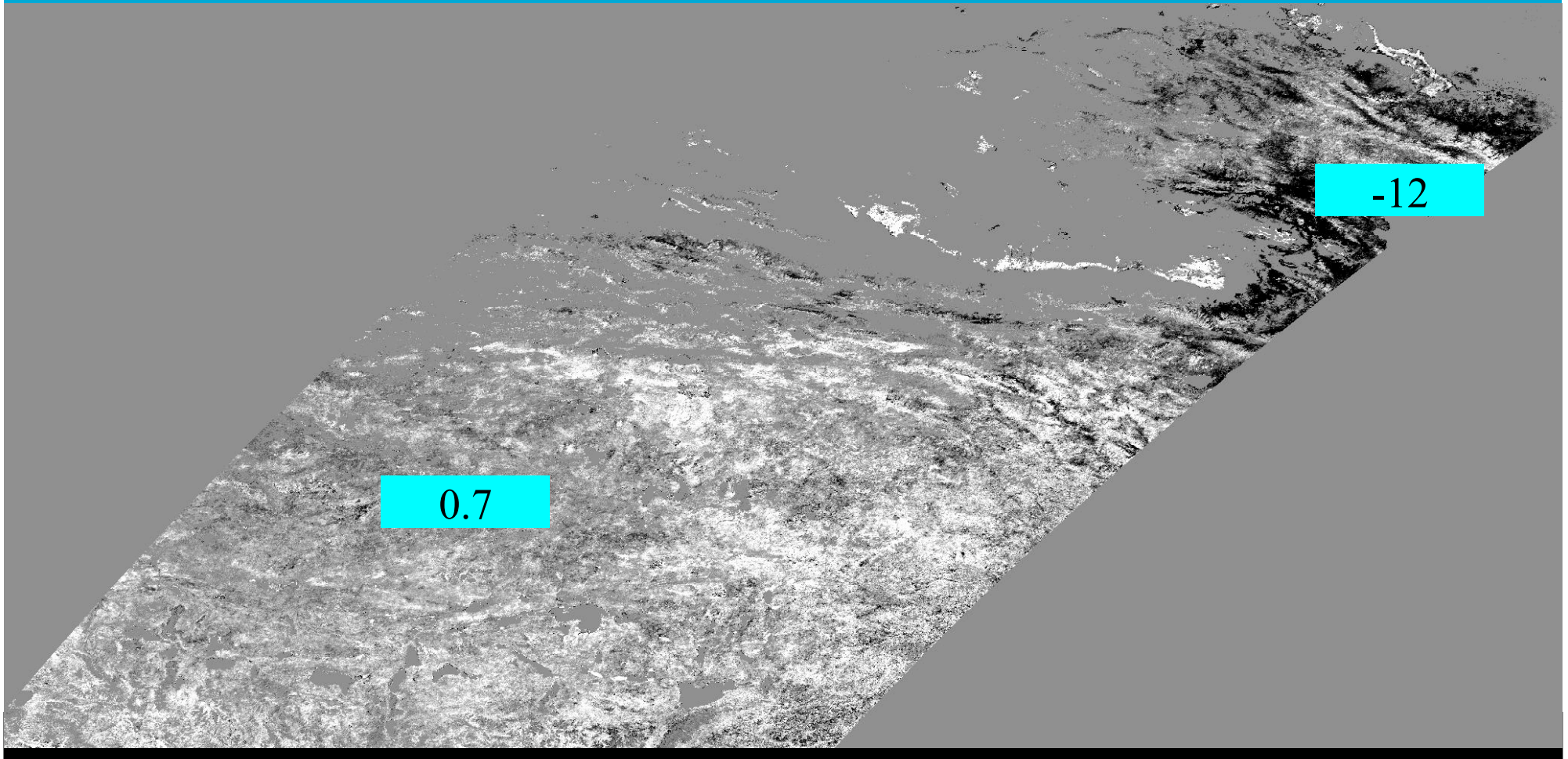
Yearly Rn / P from NCEP data

2001 – 2005

200 km x 200 km grid



fAPAR 2001 – fAPAR 2005

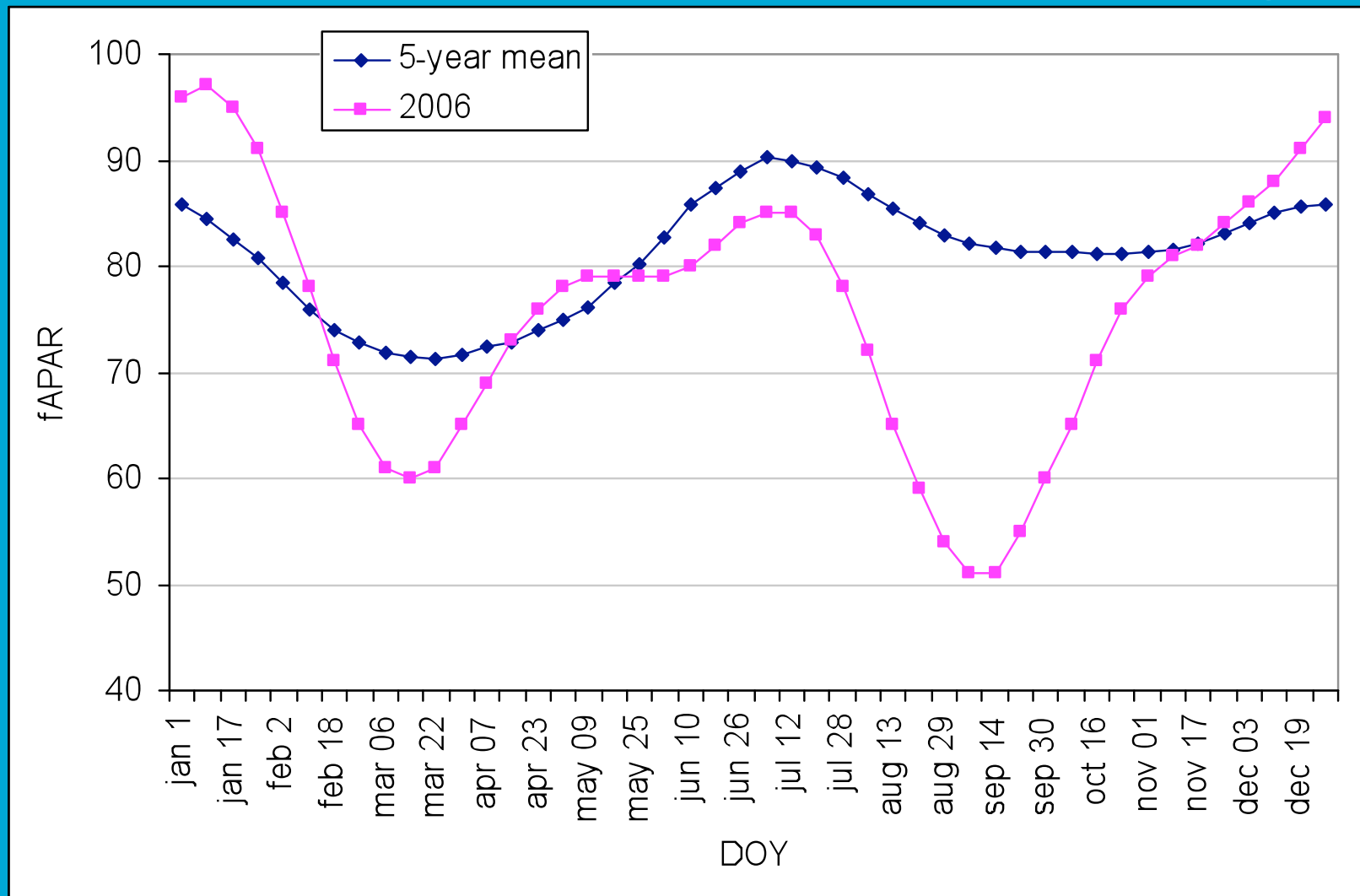


Vegetation response to weather phenological anomalies and drought

Jia and Menenti, 2009

- Cloud-free time series of land surface variables observed by optical remote sensing
- Detection of water deficit by combining anomalies in vegetation greenness and thermal properties

Anomaly: Deviation of current situation from historical average

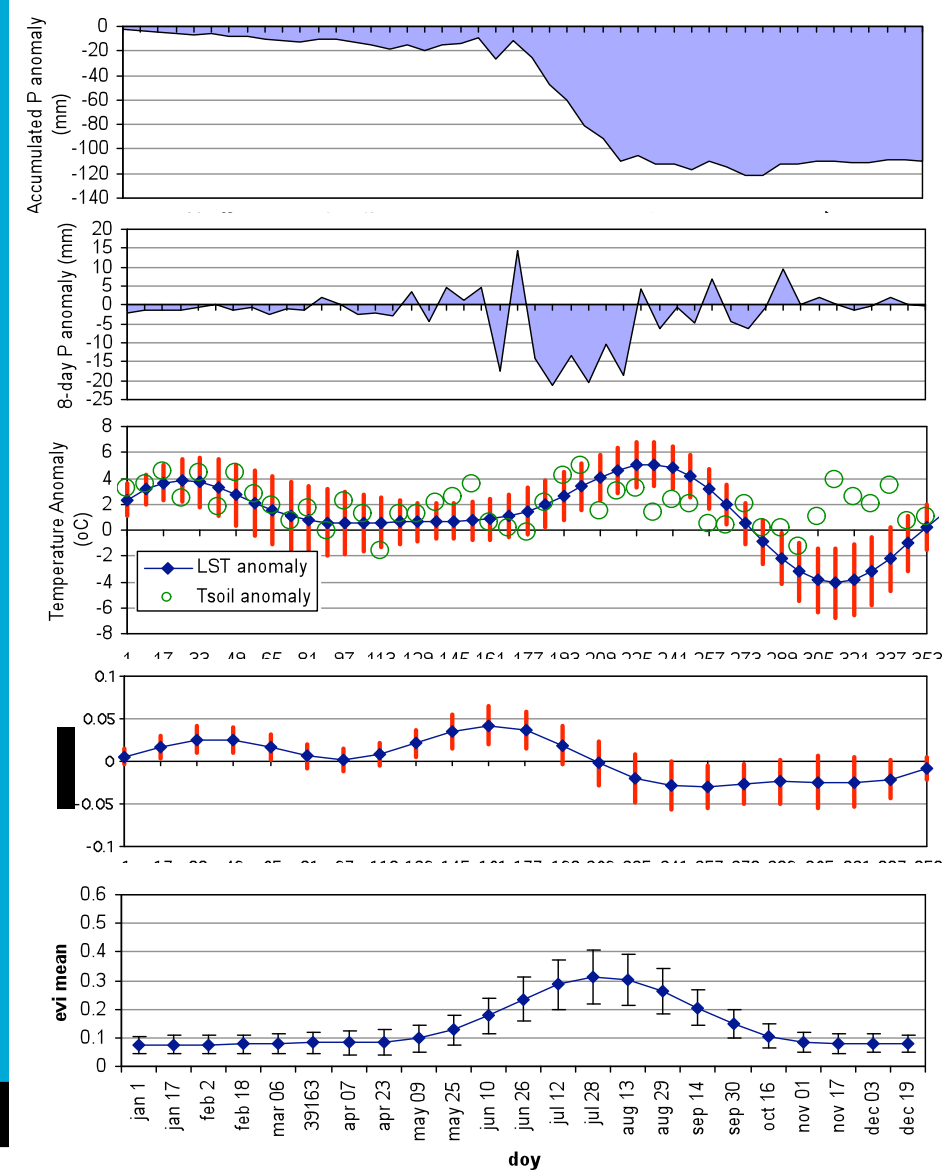
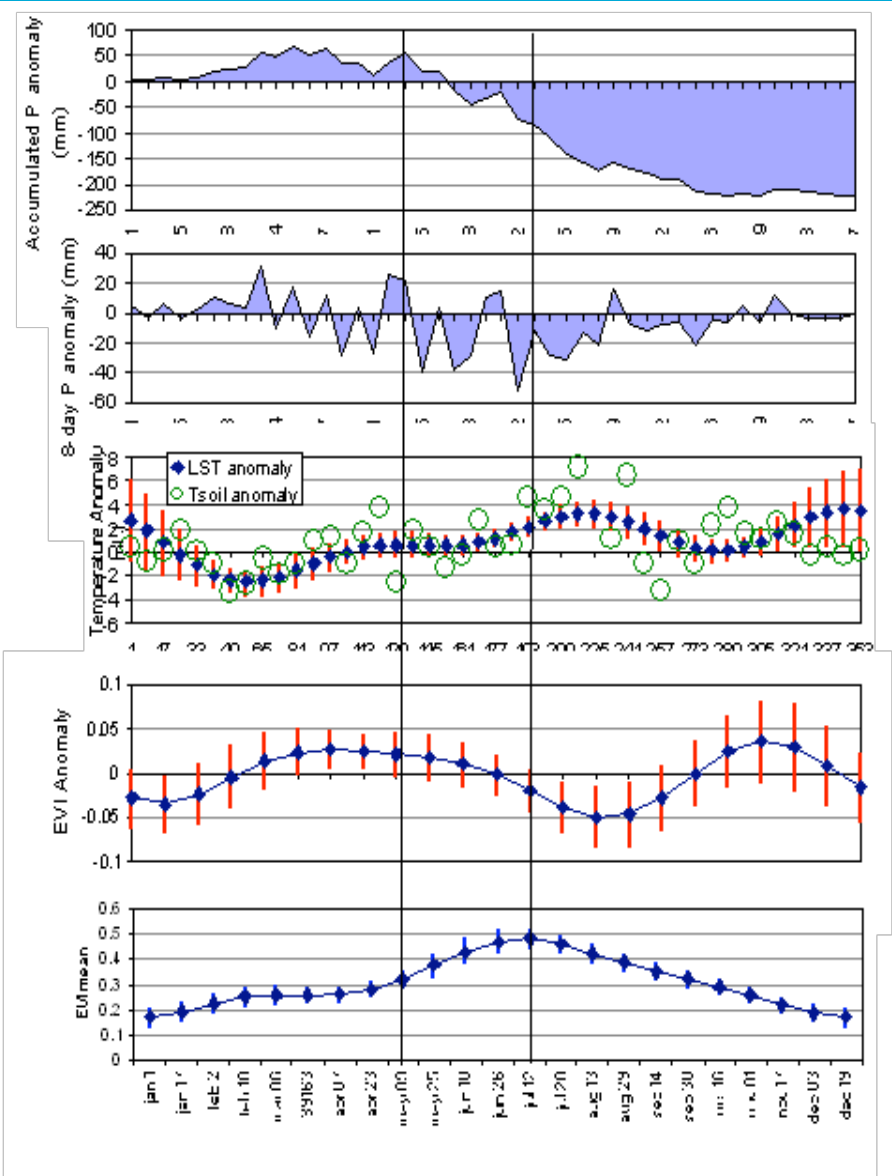


Sichuan-Chongqing drought, 2006

Drought monitoring by detecting anomalies

Crop land in Sichuan

Natural grassland in Tibet Plateau



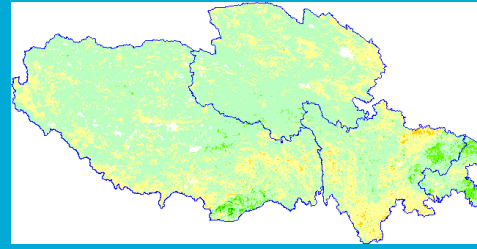
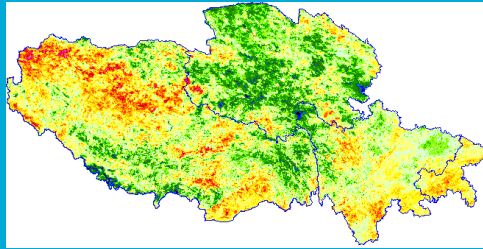
Drought early warning by detecting anomalies

LST anomaly

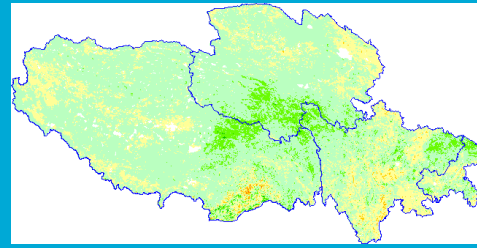
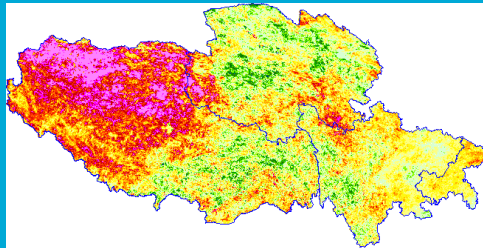
EVI anomaly

Tibet Plateau and
Sichuan-Chongqing,
2006

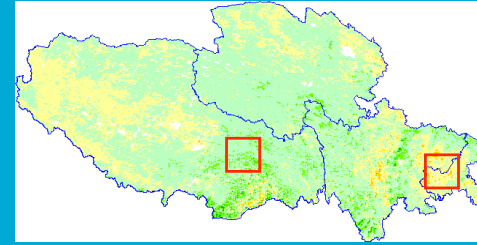
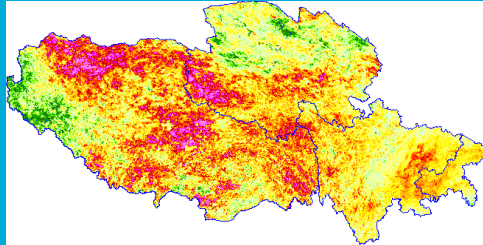
9 May



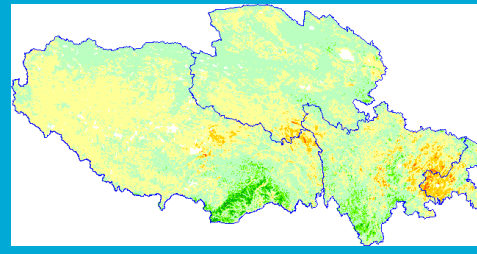
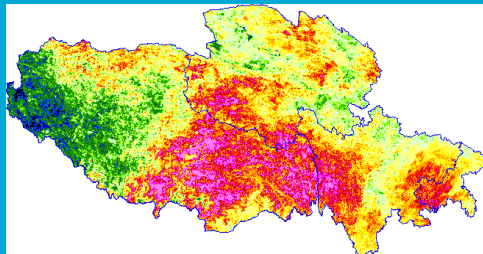
10 June



12 July

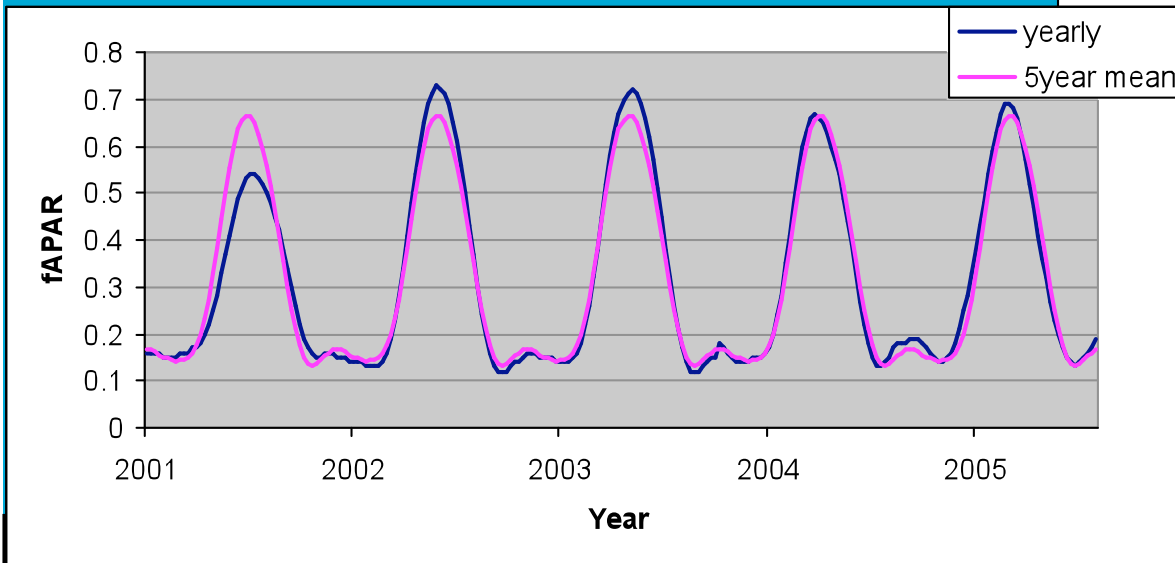
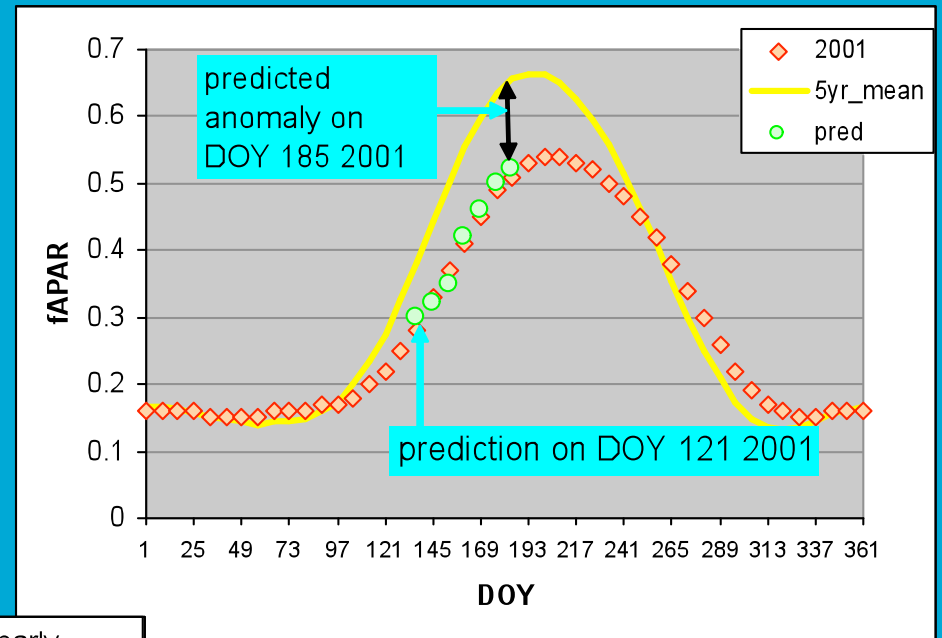


13 Aug



Early warning and prediction of drought events

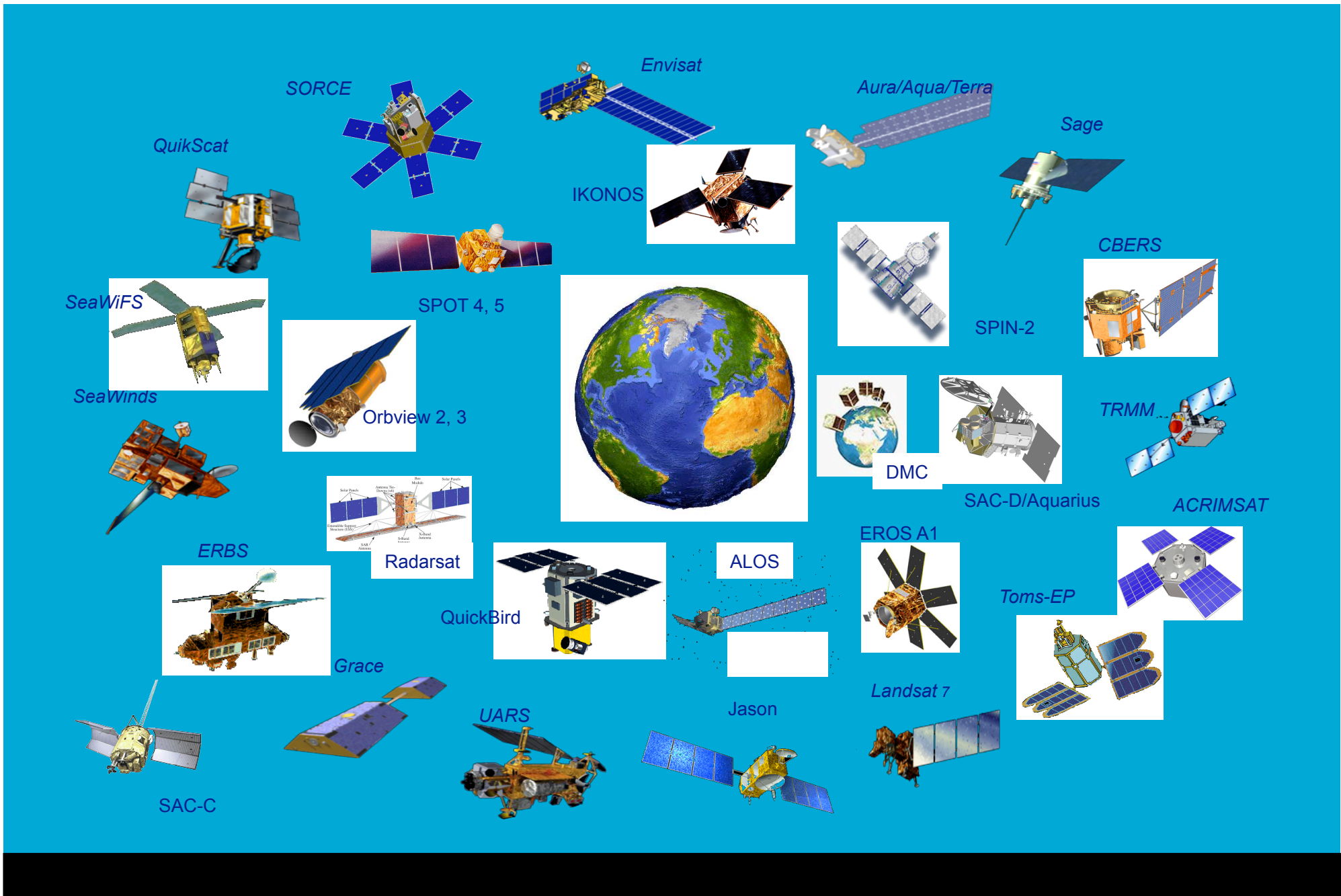
Prediction: through modeling of time series by Fourier series, wavelets, Markov chains, etc. **per pixel** over entire country.



Near and far future:

richness of observation system

**240 satellites under development for
Earth Observation**

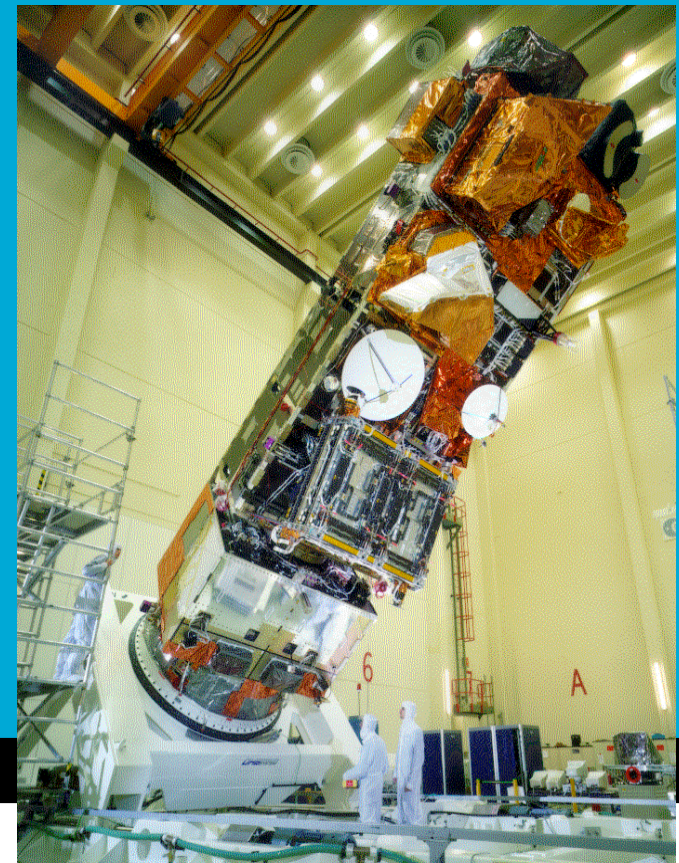
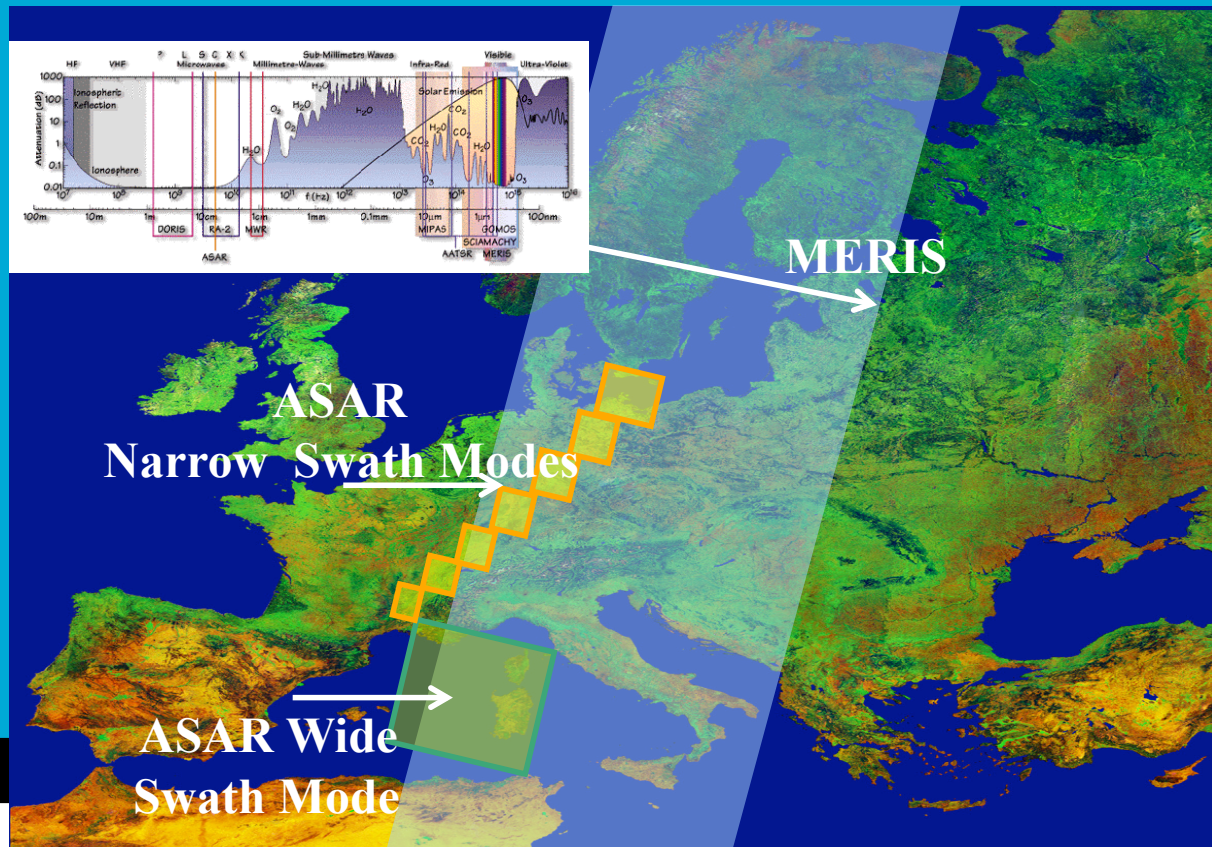


ENVISAT Land Observations

- How ?
- Signals
- operational systems
- precisely defined?
- Large areas or local?

•What?

- Collect, process and analyze global observations
- procedure to measure and interpret trends



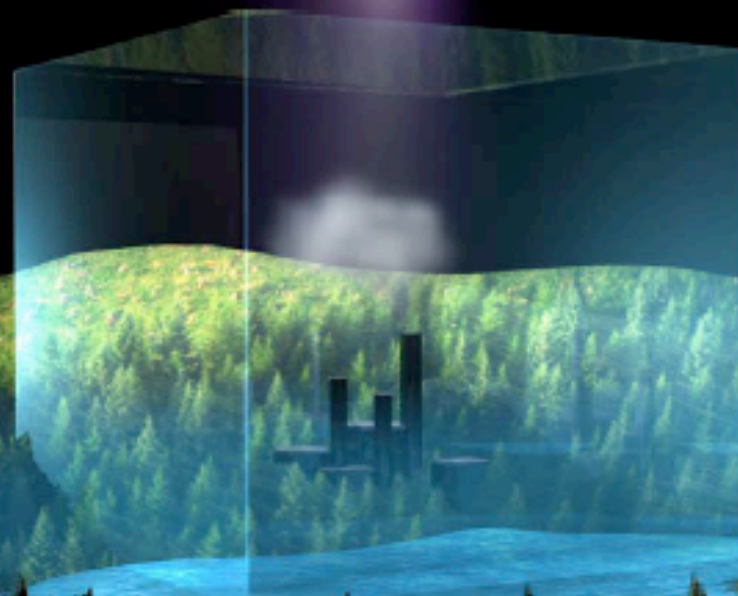
biomass



TO OBSERVE FOREST BIOMASS
FOR A BETTER UNDERSTANDING OF THE CARBON CYCLE



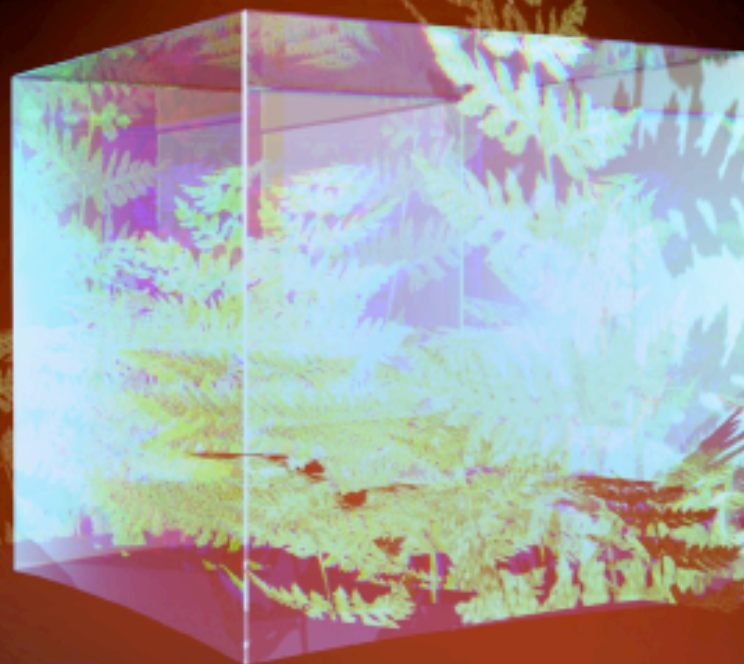
a-scope



TO OBSERVE ATMOSPHERIC CARBON DIOXIDE
FOR A BETTER UNDERSTANDING OF THE CARBON CYCLE



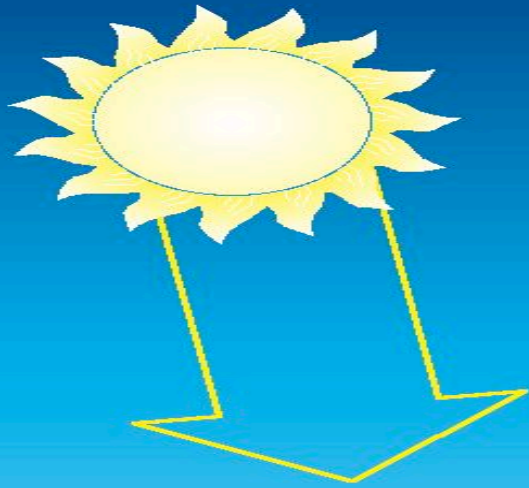
flex



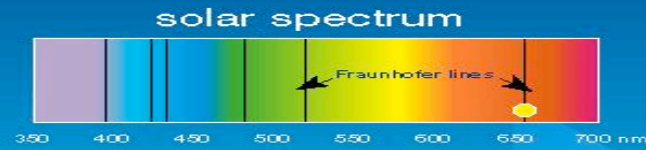
TO OBSERVE PHOTOSYNTHESIS
FOR A BETTER UNDERSTANDING OF THE CARBON CYCLE



New Concepts: FLEX



solar irradiation

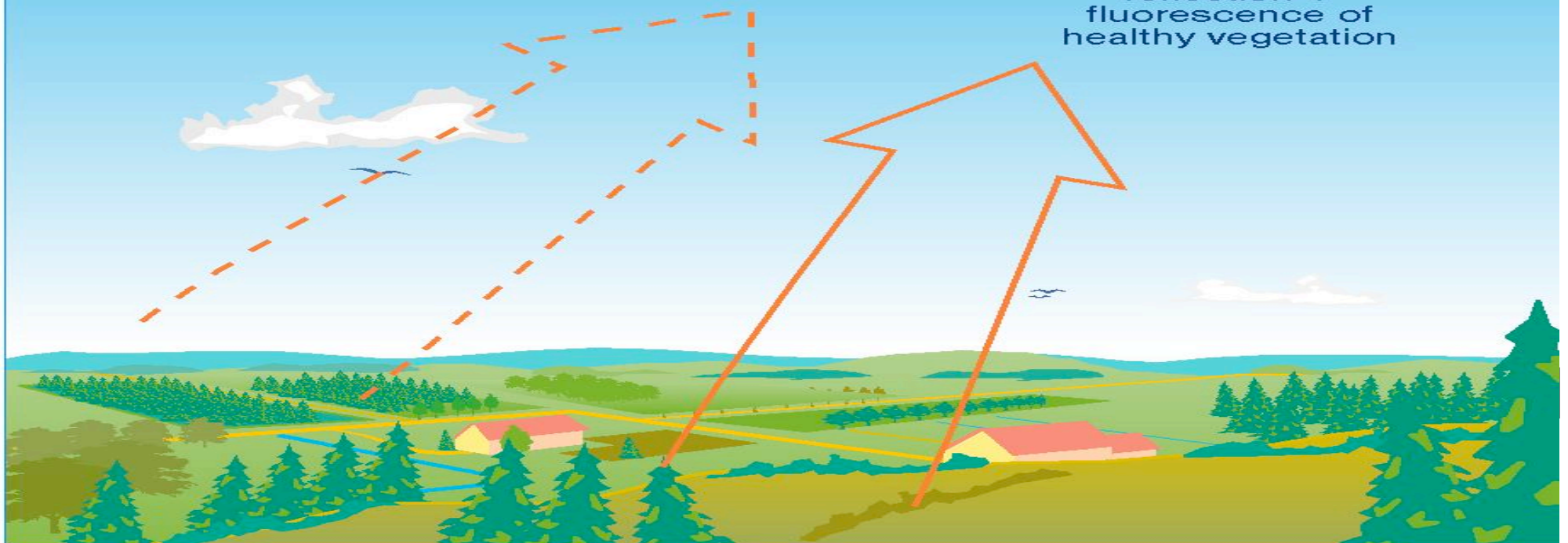


after reflection

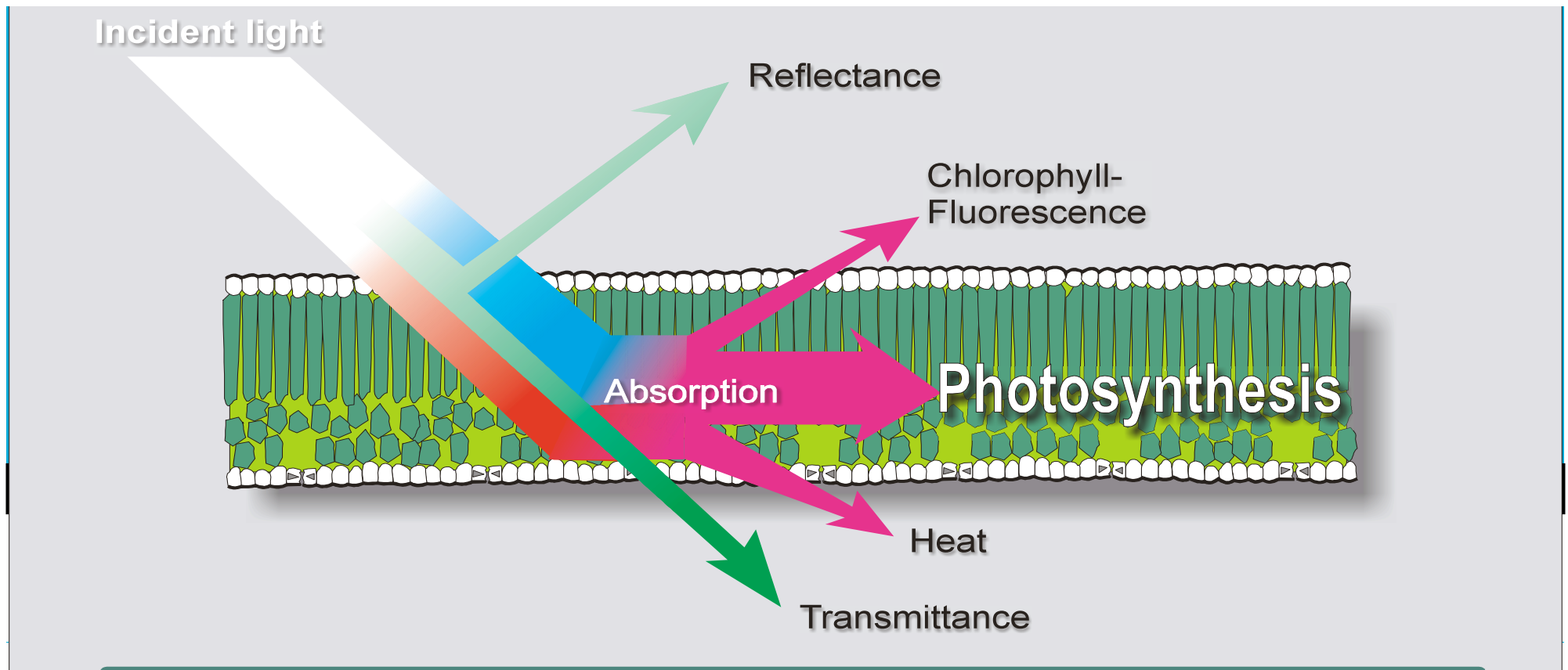


reflection +
fluorescence of
stressed vegetation

reflection +
fluorescence of
healthy vegetation



FLEX Goals





University of Karlsruhe

FLEX

Changes of Fluorescence ratios

(Buschmann and Lichtenthaler: Journal of Plant Pyhysiology 152: 297-314 (1998))



BOTANIK II

	variegated / green	photoinhibition	water deficiency	sun exposure	mite attack	heat treatment	UV-A treatment	diuron inhibition	Aurea / green leaf	N-deficiency	lower / upper leaf side
F440 / F690	→	→	→	→	→	↘	↘	↘	→	→	→
F440 / F740	→	→	→	→	→	↘	↘	↘	→	→	→
F690 / F740	→	↘	0	→	0	0	0	→	→	→	→
F440 / F520	0	0	0	↘	→	↘	→	0	→	0	0



Thank you!

Contact

www.ceop-aegis.org